

# On the defining matrices of Schubert varieties

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## 1 Introduction

Grassmannians and their Schubert subvarieties are fascinating objects of algebraic geometry and attracted many mathematicians. Their homogeneous coordinate rings are also attracting objects. They are commutative rings with combinatorial flavor. In fact, combinatorial analysis of these rings is the germ of the theory of algebras with straightening law and Hodge algebras. They are generated by the maximal minors of certain matrices with universality property and these matrices are also studied by many mathematicians.

In this article, we consider the group action on the rings generated by these matrices. And study the rings of (absolute) invariants, sagbi bases of these rings and properties of these rings together with the initial algebras of them. In the way, we define a new concept which we call “doset Hibi rings,” which are subrings of a Hibi ring of Veronese type, to analyze the initial algebra of the certain rings of invariants.

## 2 Preliminaries

In this article, all rings and algebras are assumed to be commutative with identity element. Let  $l, m, n$  be integers with  $0 \leq l < m \leq n$  and  $k$  a field. For an  $m \times n$  matrix  $M$  with entries in a  $k$  algebra  $S$ , we denote by  $I_t(M)$  the ideal generated by the  $t$ -minors of  $M$ , by  $M^{(\leq i)}$  the  $i \times n$  matrix consisting of first  $i$ -rows of  $M$ , by  $M_{\leq j}$  the  $m \times j$  matrix consisting of first  $j$ -columns of  $M$ , by  $\Gamma(M)$  the set of maximal minors of  $M$  and by  $k[M]$  the  $k$ -algebra of  $S$  generated by the entries of  $M$ .

We define posets  $\Gamma(m \times n)$  and  $\Gamma'(m \times n)$  by  $\Gamma(m \times n) := \{[c_1, \dots, c_m] \mid 1 \leq c_1 < \dots < c_m \leq n\}$  and  $\Gamma'(m \times n) := \{[c_1, \dots, c_r] \mid r \leq m, 1 \leq c_1 <$

$\dots < c_r \leq n$ . The order of  $\Gamma'(m \times n)$  is defined by

$$\begin{aligned} & [c_1, \dots, c_r] \leq [d_1, \dots, d_s] \\ \stackrel{\text{def}}{\iff} & r \geq s, c_i \leq d_i \text{ for } i = 1, 2, \dots, s, \end{aligned}$$

and the order of  $\Gamma(m \times n)$  is defined by that of  $\Gamma'(m \times n)$ . Note that  $\Gamma'(m \times n)$  is a distributive lattice and  $\Gamma(m \times n)$  is a sublattice of  $\Gamma'(m \times n)$ . For  $[c_1, \dots, c_r] \in \Gamma'(m \times n)$ , we define its size to be  $r$  and denote it by  $\text{size}[c_1, \dots, c_r]$ .

We also define the poset  $\Delta(m \times n)$  by  $\Delta(m \times n) := \{[\alpha|\beta] \mid \alpha, \beta \in \Gamma'(m \times n), \text{size}\alpha = \text{size}\beta\}$  and define the order of  $\Delta(m \times n)$  by

$$\begin{aligned} & [\alpha|\beta] \leq [\alpha'|\beta'] \\ \stackrel{\text{def}}{\iff} & \alpha \leq \alpha' \text{ in } \Gamma'(m \times m) \text{ and } \beta \leq \beta' \text{ in } \Gamma'(m \times n). \end{aligned}$$

Note also that  $\Delta(m \times n)$  is a distributive lattice.

For  $\gamma \in \Gamma(m \times n)$ , we set  $\Gamma(m \times n; \gamma) := \{\delta \in \Gamma(m \times n) \mid \delta \geq \gamma\}$ .  $\Gamma'(m \times n; \gamma)$  and  $\Delta(m \times n; \delta)$  are defined similarly.

For an  $m \times n$  matrix  $M = (m_{ij})$  and  $\delta = [\alpha|\beta] = [c_1, \dots, c_r | d_1, \dots, d_r] \in \Delta(m \times n)$ , we denote the minor  $\det(m_{c_i d_j})$  by  $\delta_M$  or  $[\alpha|\beta]_M$ . We also denote the maximal minor  $\det(m_{i c_j})$  of  $M$  by  $\delta_M$  or  $[c_1, \dots, c_m]_M$ , where  $\delta = [c_1, \dots, c_m] \in \Gamma(m \times n)$ .

Now let  $V$  be an  $n$ -dimensional  $k$ -vector space. Then the set of  $m$ -dimensional subspaces of  $V$  has a structure of an algebraic variety, called the Grassmann variety. We denote this variety by  $G_m(V)$ . It is known that if  $X = (X_{ij})$  is the  $m \times n$  matrix of indeterminates, i.e., entries  $X_{ij}$  are independent indeterminates, then  $k[\Gamma(X)]$  is the homogeneous coordinate ring of  $G_m(V)$ .

Now fix a complete flag  $0 = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_n = V$  of subspaces of  $V$ .

**Definition 2.1** For  $[a_1, \dots, a_m] \in \Gamma(m \times n)$ , we define  $\Omega(a_1, \dots, a_m) := \{W \in G_m(V) \mid \dim(W \cap V_{a_i}) \geq i \text{ for } i = 1, 2, \dots, m\}$ .

It is known that  $\Omega(a_1, \dots, a_m)$  is subvariety of  $G_m(V)$  and called the Schubert subvariety of  $G_m(V)$ .

Set  $b_i := n - a_{m-i+1} + 1$  for  $i = 1, \dots, m$  and  $\gamma := [b_1, b_2, \dots, b_m] \in \Gamma(m \times n)$ . It is known that there is the universal  $m \times n$  matrix  $Z_\gamma$  with  $I_i((Z_\gamma)_{\leq b_{i-1}}) = (0)$  for  $i = 1, \dots, m$ . That is,

- (1)  $I_i((Z_\gamma)_{\leq b_{i-1}}) = (0)$  for  $i = 1, \dots, m$  and
- (2) if  $I_i(M_{\leq b_{i-1}}) = (0)$  for  $i = 1, \dots, m$  for a matrix with entries in some  $k$ -algebra  $S$ , then there is a unique  $k$ -algebra homomorphism  $k[Z_\gamma] \longrightarrow S$  which maps  $Z_\gamma$  to  $M$ .

And it is also known that  $k[Z_\gamma]$  is the homogeneous coordinate ring of  $\Omega(a_1, \dots, a_m)$ .

Set

$$W := \begin{pmatrix} W_{11} & W_{12} & \cdots & W_{1m} \\ W_{21} & W_{22} & \cdots & W_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ W_{m1} & W_{m2} & \cdots & W_{mm} \end{pmatrix}$$

and

$$U_\gamma := \begin{pmatrix} 0 & \cdots & 0 & U_{1b_1} & \cdots & U_{1b_2-1} & U_{1b_2} & \cdots & \cdots & U_{1b_m} & \cdots & U_{1n} \\ 0 & \cdots & 0 & 0 & \cdots & 0 & U_{2b_2} & \cdots & \cdots & U_{2b_m} & \cdots & U_{2n} \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & \cdots & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & U_{mb_m} & \cdots & U_{mn} \end{pmatrix}.$$

where  $W_{ij}$  and  $U_{ij}$  are independent indeterminates. Then

**Theorem 2.2** ([Miy])  *$WU_\gamma$  has the universal property above.*

So we set  $Z_\gamma := WU_\gamma$  in the following.

### 3 Sagbi bases of the rings of invariants

For any  $g \in \text{GL}(m, k)$ ,  $I_i((gZ_\gamma)_{\leq b_i-1}) = (0)$  for  $i = 1, \dots, m$ . So there is a  $k$ -automorphism of  $k[Z_\gamma]$  sending  $Z_\gamma$  to  $gZ_\gamma$ , i.e., any subgroup of  $\text{GL}(m, k)$  acts on  $k[Z_\gamma]$ .

**Definition 3.1**  $f \in k[Z_\gamma]$  is called an absolute  $\text{SL}(m)$ -invariant if  $f$  is an  $\text{SL}(m, B)$ -invariant in  $B[Z_\gamma]$  for any  $k$ -algebra  $B$ . The set of absolute  $\text{SL}(m)$  invariants is denoted by  $k[Z_\gamma]^{\text{SL}(m, -)}$ . Absolute  $\text{O}(m)$  and  $\text{SO}(m)$  invariants are defined similarly.

In this section, we study the rings of invariants or absolute invariants of several subgroups of  $\text{SL}(m)$ .

Set

$$G_1 := \left\{ \begin{pmatrix} E_l & O \\ * & P \end{pmatrix} \mid P \in \text{SL}(m-l, k), \right\} \text{ and}$$

$$G_2 := \left\{ \begin{pmatrix} Q & O \\ * & P \end{pmatrix} \mid Q \in \text{SL}(l, k), P \in \text{SL}(m-l, k), \right\}.$$

First we recall the following results.

**Theorem 3.2** *Let  $m'$  be an integer with  $m' \geq m$  and  $X$  an  $m \times m'$  matrix of indeterminates. Then*

- (1) (DeConcini-Procesi [DP])  $k[X]^{\text{SL}(m,-)} = k[\Gamma(X)]$ .
- (2) (Goto-Hayasaka-Kurano-Nakamura [GHKN]) If  $k$  is an infinite field, then  $k[X]^{G_1} = k[X^{(\leq l)}, \Gamma(X)]$ .
- (3) (DeConcini-Procesi [DP])  $k[X]^{\text{O}(m,-)} = k[{}^tXX]$ .
- (4) (DeConcini-Procesi [DP])  $k[X]^{\text{SO}(m,-)} = k[{}^tXX, \Gamma(X)]$ .

**Corollary 3.3** (1)  $k[W]^{\text{SL}(m,-)} = k[\det W]$ .

- (2) If  $k$  is an infinite field, then  $k[W]^{G_1} = k[W^{(\leq l)}, \det W]$ .
- (3)  $k[W]^{\text{O}(m,-)} = k[{}^tWW]$ .
- (4)  $k[W]^{\text{SO}(m,-)} = k[{}^tWW, \det W]$ .

**Lemma 3.4** If  $k$  is an infinite field, then  $k[W]^{G_2} = k[\Gamma(W^{(\leq l)}, \det W)]$ .

**proof** Since  $G_1 \subset G_2$ , we see that  $k[W]^{G_2} \subset k[W]^{G_1} = k[W^{(\leq l)}, \det W]$ . So if  $f \in k[W]^{G_2}$ , we can write

$$f = f_0 + f_1(\det W) + \cdots + f_u(\det W)^u,$$

where  $f_i \in k[W^{(\leq l)}]$ . Since  $\det W$  is transcendental over  $k[W^{(\leq l)}]$  and since  $f \in k[W]^{G_2}$ , we see that  $f_i \in k[W]^{\text{SL}(l,k)}$  for  $i = 0, \dots, u$ . Therefore  $f \in k[\Gamma(W^{(\leq l)})]$  for  $i = 0, \dots, u$  (see e.g. [BV]) and we see that  $f \in k[\Gamma(W^{(\leq l)}, \det W)]$ . The opposite inclusion relation is clear. ■

Now we introduce degree lexicographic order on the polynomial ring  $k[W, U_\gamma]$  by  $W_{11} > W_{21} > \cdots > W_{m1} > W_{12} > \cdots > W_{mm} > U_{1b_1} > U_{1b_1+1} > \cdots > U_{1n} > U_{2b_2} > \cdots > U_{mn}$ . Then

- Theorem 3.5** (1)  $\{\delta_{Z_\gamma} \mid \delta \in \Gamma(m \times n; \gamma)\}$  is a sagbi basis of  $k[\det W, U_\gamma] \cap k[Z_\gamma]$ . In particular,  $k[Z_\gamma]^{\text{SL}(m,-)} = k[\det W, U_\gamma] \cap k[Z_\gamma] = k[\Gamma(Z_\gamma)]$ .
- (2)  $\{\delta_{Z_\gamma} \mid \delta \in \Gamma(m \times n; \gamma)\} \cup \{[\alpha|\beta]_{Z_\gamma^{(\leq l)}} \mid [\alpha|\beta] \in \Delta(l \times n; [1, \dots, l|b_1, \dots, b_l])\}$  is a sagbi basis of  $k[W^{(\leq l)}, \det W, U_\gamma] \cap k[Z_\gamma]$ . In particular,  $k[Z_\gamma]^{G_1} = k[W^{(\leq l)}, \det W, U_\gamma] \cap k[Z_\gamma] = k[Z_\gamma^{(\leq l)}, \Gamma(Z_\gamma)]$ .
- (3)  $\{\delta_{Z_\gamma} \mid \delta \in \Gamma(m \times n; \gamma)\} \cup \{\delta'_{Z_\gamma^{(\leq l)}} \mid \delta' \in \Gamma(l \times n; [b_1, \dots, b_l])\}$  is a sagbi basis of  $k[\Gamma(W^{(\leq l)}, \det W, U_\gamma) \cap k[Z_\gamma]$ . In particular,  $k[Z_\gamma]^{G_2} = k[\Gamma(W^{(\leq l)}, \det W, U_\gamma) \cap k[Z_\gamma] = k[\Gamma(Z_\gamma^{(\leq l)}), \Gamma(Z_\gamma)]$ .

- (4)  $\{[\alpha|\beta]_{t_{Z_\gamma Z_\gamma}} \mid \alpha, \beta \in \Gamma'(m \times n; \gamma), \alpha \leq \beta, \text{size}\alpha = \text{size}\beta\}$  is a sagbi basis of  $k[t_{Z_\gamma Z_\gamma}] \cap k[Z_\gamma]$ . In particular,  $k[Z_\gamma]^{\text{O}(m, -)} = k[t_{Z_\gamma Z_\gamma}] \cap k[Z_\gamma] = k[t_{Z_\gamma Z_\gamma}]$ .
- (5)  $\{[\alpha|\beta]_{t_{Z_\gamma Z_\gamma}} \mid \alpha, \beta \in \Gamma'(m \times n; \gamma), \alpha \leq \beta, \text{size}\alpha = \text{size}\beta\} \cup \{\delta_{Z_\gamma} \mid \delta \in \Gamma(m \times n; \gamma)\}$  is a sagbi basis of  $k[t_{Z_\gamma Z_\gamma}, \det W, U_\gamma] \cap k[Z_\gamma]$ . In particular,  $k[Z_\gamma]^{\text{SO}(m, -)} = k[t_{Z_\gamma Z_\gamma}, \det W, U_\gamma] \cap k[Z_\gamma] = k[t_{Z_\gamma Z_\gamma}, \Gamma(Z_\gamma)]$ .

## 4 Doset Hibi rings and their generalizations

In this section, we introduce a new concept “doset Hibi ring” and its generalization, which are certain subrings of a Hibi ring.

First we recall the concept of Hibi ring. Let  $H$  be a finite distributive lattice,  $P$  the set of join-irreducible elements of  $H$  (i.e., elements of  $H$  such that  $x = \alpha \vee \beta \Rightarrow x = \alpha$  or  $x = \beta$ ),  $\{X_\alpha\}_{\alpha \in H}$  a family of indeterminates indexed by  $H$  and  $\{T_a\}_{a \in P}$  a family of indeterminates indexed by  $P$ .

**Definition 4.1 (Hibi [Hib])**  $\mathcal{R}_k(H) := k[\prod_{x \leq \alpha} T_x \mid \alpha \in H]$ .

Nowadays,  $\mathcal{R}_k(H)$  is called the Hibi ring.

**Theorem 4.2 (Hibi [Hib])**  $\mathcal{R}_k(H)$  is a homogeneous ASL (algebra with straightening law, ordinal Hodge algebra in the terminology of [DEP]) over  $k$  generated by  $H$  with straightening relation  $\alpha\beta = (\alpha \wedge \beta)(\alpha \vee \beta)$  for any  $\alpha, \beta \in H$  with  $\alpha \not\leq \beta$ . That is  $\mathcal{R}_k(H) \simeq k[X_\alpha \mid \alpha \in H]/(X_\alpha X_\beta - X_{\alpha \wedge \beta} X_{\alpha \vee \beta} \mid \alpha, \beta \in H)$  by  $X_\alpha \mapsto \prod_{x \leq \alpha} T_x$ .

Set  $\overline{T}(P) := \{\nu: P \rightarrow \mathbf{N} \mid a \leq b \Rightarrow \nu(a) \geq \nu(b)\}$ . Then

**Theorem 4.3 (Hibi)**  $\mathcal{R}_k(H)$  is a free  $k$ -module with basis  $\{T^\nu \mid \nu \in \overline{T}(P)\}$ , where  $T^\nu := \prod_{x \in P} T_x^{\nu(x)}$ .

Let us recall the definition of dosets ([DEP]). Set  $\text{Diag}_H := \{(\alpha, \alpha) \mid \alpha \in H\}$  and  $\mathcal{O}_H := \{(\alpha, \beta) \mid \alpha, \beta \in H, \alpha \leq \beta\}$ .

**Definition 4.4 (DeConcini-Eisenbud-Procesi [DEP])** A set  $D \subset H \times H$  is called a doset if

- (1)  $\text{Diag}_H \subset D \subset \mathcal{O}_H$  and
- (2) if  $\alpha_1 \leq \alpha_2 \leq \alpha_3$ , then

$$(\alpha_1, \alpha_3) \in D \Leftrightarrow (\alpha_1, \alpha_2) \in D \text{ and } (\alpha_2, \alpha_3) \in D.$$

Now let  $L$  be a distributive lattice,  $\varphi: H \rightarrow L$  a surjective lattice homomorphism. We define  $D := \{(\alpha, \beta) \mid \alpha \leq \beta, \varphi(\alpha) = \varphi(\beta)\}$ . Then it is easily verified that  $D$  is a doset.

**Definition 4.5** Doset Hibi ring over  $k$  defined by  $H$ ,  $\varphi$  is the subalgebra of  $\mathcal{R}_k(H)$  generated by  $\{\alpha\beta \mid (\alpha, \beta) \in D\}$ .

Note that if  $(\alpha, \beta), (\alpha', \beta') \in D$ , then  $\alpha\beta\alpha'\beta' = (\alpha \wedge \alpha')(\alpha \vee \alpha')(\beta \wedge \beta')(\beta \vee \beta') = (\alpha \wedge \alpha')((\alpha \vee \alpha') \wedge (\beta \wedge \beta'))((\alpha \vee \alpha') \vee (\beta \wedge \beta'))(\beta \vee \beta')$  and  $\varphi((\alpha \wedge \alpha') \wedge (\beta \wedge \beta')) = (\varphi(\alpha) \vee \varphi(\alpha')) \wedge (\varphi(\beta) \wedge \varphi(\beta')) = (\varphi(\alpha) \vee \varphi(\alpha')) \wedge (\varphi(\alpha) \wedge \varphi(\alpha')) = \varphi(\alpha) \wedge \varphi(\alpha') = \varphi(\alpha \wedge \alpha')$  since  $\varphi(\alpha) = \varphi(\beta)$  and  $\varphi(\alpha') = \varphi(\beta')$ . So  $(\alpha \wedge \alpha', (\alpha \vee \alpha') \wedge (\beta \wedge \beta')) \in D$ . And we see that  $((\alpha \vee \alpha') \vee (\beta \wedge \beta'), \beta \vee \beta') \in D$  by the same way. Therefore by repeated application of this “straightening relation”, we see that the doset Hibi ring is a free  $k$ -module with basis  $\{\alpha_1\alpha_2 \cdots \alpha_{2r} \mid \alpha_1 \leq \alpha_2 \leq \cdots \leq \alpha_{2r}, (\alpha_{2i-1}, \alpha_{2i}) \in D \text{ for } i = 1, \dots, r\}$ .

Now let  $Q$  be the set of join-irreducible elements of  $L$ . For  $x \in Q$ , we set  $\alpha_x := \bigwedge_{\beta \in \varphi^{-1}(x)} \beta$ . It is directly verified that  $\alpha_x$  is a join-irreducible element of  $H$ , i.e.,  $\alpha_x \in P$  for any  $x \in Q$ . So if we set  $\overline{T}' := \{\nu \in \overline{T}(P) \mid \nu(\alpha_x) \equiv 0 \pmod{2} \text{ for } \forall x \in Q\}$ , then

**Theorem 4.6** *Doset Hibi ring is a free  $k$ -module with basis  $\{T^\nu \mid \nu \in \overline{T}'\}$ .*

The key point of the proof is the fact that  $\alpha_x \leq \beta \Leftrightarrow x \leq \varphi(\beta)$  for  $\beta \in H$  and  $x \in Q$ .

Next we generalize the concept of doset Hibi rings. Suppose that  $L$  is decomposed into two sublattices as  $L = L_1 \oplus L_2$ , i.e.,  $L = L_1 \cup L_2$  (disjoint union) with  $L_i$  is join and meet closed for  $i = 1, 2$  and  $\xi \in L_1, \eta \in L_2 \Rightarrow \xi < \eta$ .

**Definition 4.7** The generalized doset Hibi ring over  $k$  defined by  $H, L_1, L_2$  is the subalgebra of  $\mathcal{R}_k(H)$  generated by  $\{\alpha\beta \mid (\alpha, \beta) \in D\} \cup \{\alpha \mid \varphi(\alpha) \in L_1\}$ .

It is easily verified that the generalized doset Hibi ring defined by  $H, L_1, L_2$ , is a free  $k$ -module with basis  $\{\alpha_1\alpha_2 \cdots \alpha_r\beta_1\beta_2 \cdots \beta_{2s} \mid \alpha_1 \leq \cdots \leq \alpha_r \leq \beta_1 \leq \cdots \leq \beta_{2s}, \alpha_i \in L_1, \beta_j \in L_2 \text{ and } \varphi(\beta_{2j-1}) = \varphi(\beta_{2j})\}$ .

Since  $L_i$  is a distributive lattice for  $i = 1, 2$ , we denote the set of join-irreducible elements of  $L_i$  by  $Q_i$ . Then if we put  $\overline{T}'' := \{\nu \in \overline{T}(P) \mid \nu(\alpha_x) \equiv 0 \pmod{2} \text{ for } \forall x \in Q_2\}$ , we see the following

**Theorem 4.8** *Generalized doset Hibi ring is a free  $k$ -module with basis  $\{T^\nu \mid \nu \in \overline{T}''\}$ .*

Hibi rings, doset Hibi rings and generalized doset Hibi rings are affine semigroup rings of  $k[T_a \mid a \in P]$ . Let us recall the following results of affine semigroup rings by Hochster.

**Theorem 4.9 (Hochster [Hoc])** *Let  $S$  be a finitely generated submonoid of  $\mathbf{N}^r$ . Then*

(1)  $k[S]$  is normal if and only if  $S = \mathbf{R}_{\geq 0}S \cap \mathbf{Z}S$ .

(2) If  $k[S]$  is normal, the  $k[S]$  is Cohen-Macaulay.

**Corollary 4.10** *Hibi rings, doset Hibi rings and generalized doset Hibi rings are normal Cohen-Macaulay.*

Now we apply these results to the initial algebras of the rings of (absolute) invariants.

Set  $L := \{1, 2, \dots, m\}$  with reverse order. Then  $\varphi := \text{size}$  is a surjective lattice homomorphism from  $\Gamma'(m \times n)$  to  $L$ . Set  $L_1 = \{m\}$  and  $L_2 = \{1, \dots, m-1\}$ . Then

**Theorem 4.11** (1)  $\text{in } k[\Gamma(Z_\gamma)]$  is the Hibi ring on  $\Gamma(m \times n; \gamma)$ .

(2)  $\text{in } k[Z_\gamma^{(\leq l)}, \Gamma(Z_\gamma)]$  is the Hibi ring on  $\Gamma(m \times n; \gamma) \cup \Delta(l \times n; [1, \dots, l \mid b_1, \dots, b_l])$ .

(3)  $\text{in } k[\Gamma(Z_\gamma^{(\leq l)}), \Gamma(Z_\gamma)]$  is the Hibi ring on  $\Gamma(m \times n; \gamma) \cup \Gamma(l \times n; [b_1, \dots, b_l])$ .

(4)  $\text{in } k[{}^t Z_\gamma Z_\gamma]$  is the doset Hibi ring on  $\Gamma'(m \times n; \gamma)$  and  $L$ .

(5)  $\text{in } k[{}^t Z_\gamma Z_\gamma, \Gamma(Z_\gamma)]$  is the generalized doset Hibi ring on  $\Gamma'(m \times n; \gamma)$  and  $L_1, L_2$ .

**Corollary 4.12** *All the rings above and their original rings are normal and Cohen-Macaulay.*

## 5 Gorenstein property

In this section, we state criteria of Gorenstein property of the rings of (absolute) invariants.

First we recall the following result of Stanley.

**Theorem 5.1 (Stanley [Sta])** *Let  $A$  be a graded Cohen-Macaulay domain over  $k$ . Then  $A$  is Gorenstein if and only if  $H(A, \lambda^{-1}) = (-1)^d \lambda^\rho H(A, \lambda)$  for some  $\rho \in \mathbf{Z}$ , where  $H(A, -)$  is the Hilbert series of  $A$ .*

Note that if  $A$  is a graded subring of a polynomial ring with monomial order, then  $A$  and  $k[A]$  have the same Hilbert function. So if  $k[A]$  is Cohen-Macaulay, then  $A$  is Gorenstein if and only if  $k[A]$  is Gorenstein.

Next we recall the Stanley's description of the canonical module of a normal affine semigroup ring.

**Theorem 5.2 (Stanley [Sta])** *If  $S$  is a finitely generated submonoid of  $\mathbf{N}^r$  such that  $A = k[S]$  is normal, then  $K_A = \bigoplus_{\nu \in \text{relint } \mathbf{R}_{\geq 0} S \cap S} kT^\nu$ , where  $K_A$  is the canonical module of  $A$ .*

Let  $H$  be a finite distributive lattice,  $P$  the set of join-irreducible elements of  $H$ . Set

$$\mathcal{T}(P) := \{\nu \in \overline{\mathcal{T}}(P) \mid a < b \Rightarrow \nu(a) > \nu(b) \text{ and } \nu(a) > 0 \text{ for } \forall a \in P\},$$

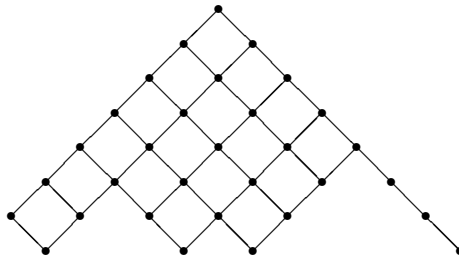
$\mathcal{T}' := \overline{\mathcal{T}}' \cap \mathcal{T}(P)$  and  $\mathcal{T}'' := \overline{\mathcal{T}}'' \cap \mathcal{T}(P)$ . Then with the notation defined in the previous section, the canonical module of the Hibi ring, the doset Hibi ring and the generalized doset Hibi ring are the free  $k$ -modules with basis  $\{T^\nu \mid \nu \in \mathcal{T}(P)\}$ ,  $\{T^\nu \mid \nu \in \mathcal{T}'\}$  and  $\{T^\nu \mid \nu \in \mathcal{T}''\}$  respectively. In particular,

**Corollary 5.3 (Hibi [Hib])**  *$\mathcal{R}_k(H)$  is Gorenstein if and only if  $P$  is pure.*

Now let  $P_1$  be the set of join-irreducible elements of  $\Gamma(m \times n; \gamma)$ ,  $P_2$  the set of join-irreducible elements of  $\Gamma(m \times n; \gamma) \cup \Delta(l \times n; [1, \dots, l | b_1, \dots, b_l])$ ,  $P_3$  the set of join-irreducible elements of  $\Gamma(m \times n; \gamma) \cup \Gamma(l \times n; [b_1, \dots, b_l])$ ,  $P_4$  the set of join-irreducible elements of  $\Gamma'(m \times n; \gamma)$ .

An element  $[c_1, \dots, c_m] \in \Gamma(m \times n; \gamma)$  is a non-minimal join-irreducible element of  $\Gamma(m \times n; \gamma)$  if and only if there is unique  $i$  such that  $c_i > b_i$  and  $c_i > c_{i-1} + 1$ , where  $c_0 := 0$ . For such  $[c_1, \dots, c_m]$ , set  $p := n - c_i - (m - i) = |\{j \mid j > c_i, j \notin \{c_1, \dots, c_m\}\}|$  and  $q := i - 1 = |\{j \mid j < c_i, j \in \{c_1, \dots, c_m\}\}|$ . This makes an order reversing map from  $P_1 \setminus \{\text{unique minimal element}\}$  to  $\mathbf{N} \times \mathbf{N}$ .

**Example 5.4** Let  $m = 7$ ,  $n = 15$  and  $\gamma = [1, 5, 6, 7, 9, 12, 13]$ . Then the Hasse diagram of  $P_1 \setminus \{\gamma\}$  is the following.





$[1, 5, 6, 11, 12, 13, 14]$  is a join-irreducible element with  $i = 4$ ,  $p = 1$ ,  $q = 3$ .

Minimal elements of  $P_1 \setminus \{\gamma\}$  are  $[1, 5, 6, 7, 9, 12, 14]$ ,  $[1, 5, 6, 7, 10, 12, 13]$ ,  $[1, 5, 6, 8, 9, 12, 13]$  and  $[2, 5, 6, 7, 9, 12, 13]$ .

Set  $\{u \mid b_u + 1 < b_{u+1}\} = \{u_1, \dots, u_t\}$  with  $u_1 < \dots < u_t$ , where  $b_{m+1} := n + 1$  and  $\chi_0 := \{1, 2, \dots, b_1 - 1\}$ ,  $B_1 := \{b_1, b_2, \dots, b_{u_1}\}$ ,  $\chi_1 := \{b_{u_1} + 1, b_{u_1} + 2, \dots, b_{u_1+1} - 1\}$ ,  $B_2 := \{b_{u_1+1}, b_{u_1+2}, \dots, b_{u_2}\}$ ,  $\chi_2 := \{b_{u_2} + 1, b_{u_2} + 2, \dots, b_{u_2+1} - 1\}$ ,  $B_3 := \{b_{u_2+1}, b_{u_2+2}, \dots, b_{u_3}\}$ ,  $\dots$ ,  $B_t := \{b_{u_{t-1}+1}, b_{u_{t-1}+2}, \dots, b_{u_t}\}$ ,  $\chi_t := \{b_{u_t} + 1, b_{u_t} + 2, \dots, b_{u_t+1} - 1\}$  and  $B_{t+1} := \{b_{u_t+1}, b_{u_t+2}, \dots, b_m\}$ .

**Example 5.5** For the above example  $t = 4$ ,  $u_1 = 1$ ,  $u_2 = 4$ ,  $u_3 = 5$ ,  $u_4 = 7$  and  $\chi_0 = \emptyset$ ,  $B_1 = \{1\}$ ,  $\chi_1 = \{2, 3, 4\}$ ,  $B_2 = \{5, 6, 7\}$ ,  $\chi_2 = \{8\}$ ,  $B_3 = \{9\}$ ,  $\chi_3 = \{10, 11\}$ ,  $B_4 = \{12, 13\}$ ,  $\chi_4 = \{14, 15\}$  and  $B_5 = \emptyset$ .

So

**Theorem 5.6 (Svanes [Sva])**  $k[\Gamma(Z_\gamma)]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t$ , i.e.,  $n - b_{u_i} - m + 2u_i - 2$  is constant.

Consider  $P_3$  and  $P_2$  next. Non-minimal elements of  $P_3$  are

- (1) non-minimal join-irreducible element of  $P_1$ ,
- (2) join-irreducible element  $[c_1, \dots, c_l]$  of  $\Gamma(l \times n; [b_1, \dots, b_l])$  with  $c_l > n - m + l$  or
- (3)  $[b_1, \dots, b_l]$ .

These are also elements of  $P_2$ . And  $P_2$  has elements of fourth type.

- (4) Join-irreducible element of  $\Delta(l \times n; [1, \dots, l|b_1, \dots, b_l])$  with size  $\leq l - 1$ .

So by considering the purity of  $P_2$  and  $P_3$ , we see the following

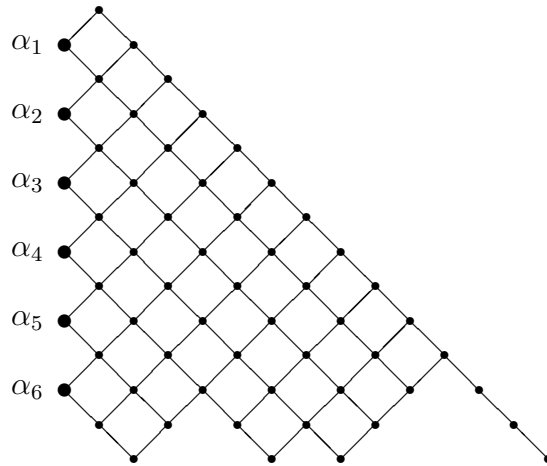
**Theorem 5.7** (1) If  $u_t > l$ , then  $k[Z_\gamma^{(\leq l)}, \Gamma(Z_\gamma)]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t$ .

- (2) If  $u_t \leq l$ , then  $k[Z_\gamma^{(\leq l)}, \Gamma(Z_\gamma)]$  is Gorenstein if and only if  $m - l = n - b_{u_i} - m + 2u_i - 1$  for any  $i = 1, 2, \dots, t$ .

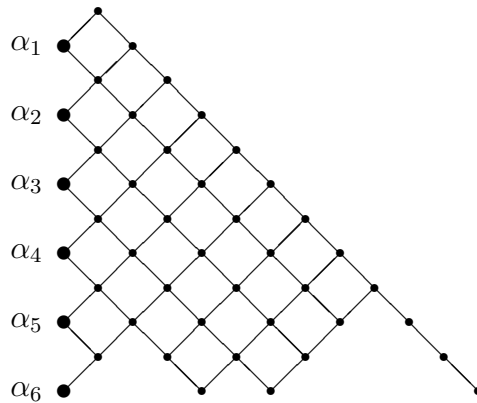
**Theorem 5.8**  $k[\Gamma(Z_\gamma^{(\leq l)}), \Gamma(Z_\gamma)]$  is Gorenstein if and only if  $k[Z_\gamma^{(\leq l)}, \Gamma(Z_\gamma)]$  is Gorenstein.

Now consider the Gorenstein property of the rings of absolute  $O(m)$  and  $SO(m)$ -invariants. The Hasse diagram of  $P_4$  is the one adding some planer lattice upper left side of the Hasse diagram of  $P_1$ .

**Example 5.9** Let  $m = 7$ ,  $n = 15$  and  $\gamma = [1, 5, 6, 7, 9, 12, 13]$ . Then the Hasse diagram of  $P_4 \setminus \{\gamma\}$  is the following.



**Example 5.10** Change  $n$  to 13 and keep  $m$  and  $\gamma$  to be the same. That is,  $m = 7$ ,  $n = 13$  and  $\gamma = [1, 5, 6, 7, 9, 12, 13]$ . Then the Hasse diagram of  $P_4 \setminus \{\gamma\}$  is the following.



By examining the positions of  $\alpha_i$  ( $i = 1, \dots, m - 1$ ) and  $\gamma$ , we see the following results.

**Theorem 5.11 (Conca [Con])** (1) If  $b_m < n$ , then  $k[Z_\gamma Z_\gamma]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t$  and  $|\chi_t| \equiv 1 \pmod{2}$ .

(2) If  $b_m = n$ , then  $k[Z_\gamma Z_\gamma]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t$  and  $|B_{t+1}| = |\chi_t| - 1$ .

**Theorem 5.12** (1) If  $b_m < n$ , then  $k[Z_\gamma Z_\gamma, \Gamma(Z_\gamma)]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t$ .

(2) If  $b_m = n$ , then  $k[Z_\gamma Z_\gamma, \Gamma(Z_\gamma)]$  is Gorenstein if and only if  $|B_i| = |\chi_{i-1}|$  for  $i = 2, 3, \dots, t + 1$ .

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