

# Z-STRUCTURES IN LOG MIXED HODGE THEORY

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## §0. Introduction

This is a brief guide of log mixed Hodge theory.

As a joint work of Kazuya Kato, Chikara Nakayama, and Usui, the notion of log mixed Hodge was introduced and studied, and various partial compactifications of a classifying space  $D$  of mixed Hodge structures were constructed, and their relation was described as a fundamental diagram.

In this note, we focus on the **Z**-structure of a log mixed Hodge structure.

## §1. Ringed space $(X^{\log}, \mathcal{O}_X^{\log})$

We review a ringed space  $(X^{\log}, \mathcal{O}_X^{\log})$  which is the best place to live for logarithms. The references of this section are [KN99], [KU09, Ch. 2].

Let  $X$  be an analytic space (more generally, an object of the category  $\mathcal{B}$ ) with an fs log structure. Here a log structure on  $X$  is a morphism  $\alpha : M_X \rightarrow \mathcal{O}_X$  of monoid sheaves such that  $\alpha^{-1}(\mathcal{O}_X^*) \simeq \mathcal{O}_X^*$ , and fs is a good property for it. Define a set

$$X^{\log} := \{(x, h) \mid x \in X, h : M_{X,x}^{\text{gp}} \rightarrow \mathbf{S}^1 \text{ homomorphism s.t. } h(u) = u/|u| \text{ for } u \in \mathcal{O}_{X,x}^*\}.$$

Introduce on  $X^{\log}$  the weakest topology such that the following two maps are continuous:

- (1)  $\tau : X^{\log} \rightarrow X, (x, h) \mapsto x.$
- (2) For any open  $U \subset X$  and any  $f \in \Gamma(U, M_X^{\text{gp}}), \tau^{-1}(U) \rightarrow \mathbf{S}^1, (x, h) \mapsto h(f).$

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Then,  $\tau : X^{\log} \rightarrow X$  is proper and surjective, and fibers are  $\tau^{-1}(x) \simeq (\mathbf{S}^1)^r$  with  $r = \text{rank}(M_X^{\text{gp}}/\mathcal{O}_X^\times)_x$ .

Define the *sheaf of logarithms*  $\mathcal{L} = \mathcal{L}_X$  of  $M_X^{\text{gp}}$  on  $X^{\log}$  as the fiber product of  $\text{Cont}(\cdot, i\mathbf{R}) \xrightarrow{\text{exp}} \text{Cont}(\cdot, \mathbf{S}^1) \leftarrow \tau^{-1}(M_X^{\text{gp}})$ , where the second map is  $(f \text{ at } (x, f)) \mapsto h(f)$ . The homomorphisms  $\tau^{-1}(\mathcal{O}_X) \xrightarrow{\text{exp}} \tau^{-1}(\mathcal{O}_X^*) \subset \tau^{-1}(M_X^{\text{gp}})$  and  $\tau^{-1}(\mathcal{O}_X) \rightarrow \text{Cont}(\cdot, i\mathbf{R})$ ,  $f \mapsto (f - \bar{f})/2$ , induce a homomorphism  $\iota : \tau^{-1}(\mathcal{O}_X) \rightarrow \mathcal{L}$ . Define a sheaf of rings  $\mathcal{O}_X^{\log}$  on  $X^{\log}$  by

$$\mathcal{O}_X^{\log} := \frac{\tau^{-1}(\mathcal{O}_X) \otimes \text{Sym}_{\mathbf{Z}}(\mathcal{L})}{(f \otimes 1 - 1 \otimes \iota(f) \mid f \in \tau^{-1}(\mathcal{O}_x))}.$$

Then,  $\tau : (X^{\log}, \mathcal{O}_X^{\log}) \rightarrow (X, \mathcal{O}_X)$  is a morphism of ringed spaces over  $\mathbf{C}$ .

## §2. LOCAL SYSTEMS ON $X^{\log}$

Let  $X$  be an analytic space (more generally, an object of the category  $\mathcal{B}$ ) endowed with an fs log structure.

We review here the following result about local systems on  $X^{\log}$  in [KU09, §2.3]: If  $L$  is a locally constant sheaf on  $X^{\log}$  of free  $\mathbf{Z}$ -modules of finite rank with “unipotent local monodromy” (see 2.1 below), then locally on  $X$ ,  $L$  is embedded in

$$\mathcal{O}_X^{\log} \otimes L_0$$

in a special way, where  $L_0$  is a stalk of  $L$  regarded as a constant sheaf.

**2.1.** Let  $L$  be a locally constant sheaf on  $X^{\log}$ . For  $x \in X$  and  $y \in X^{\log}$  lying over  $x$ , we call the action of  $\pi_1(x^{\log}) = \pi_1(\tau^{-1}(x))$  on  $L_y$  the *local monodromy* of  $L$  at  $y$ .

Assume  $L$  is a locally constant sheaf of abelian groups on  $X^{\log}$ . We say the local monodromy of  $L$  is *unipotent* if the local monodromy of  $L$  at  $y$  is unipotent for any  $y \in X^{\log}$ .

**Theorem 2.2.** *Let  $X$  be an object of  $\mathcal{B}(\log)$ , and let  $L$  be a locally constant sheaf on  $X^{\log}$  of free abelian groups of finite rank. Fix a point  $x \in X$  and a point  $y \in X^{\log}$  lying over  $x$ , and assume that the local monodromy of  $L$  at  $y$  is unipotent. Let  $(q_j)_{1 \leq j \leq n}$  be a finite family of elements of  $M_{X,x}^{\text{gp}}$  whose image in  $(M_X^{\text{gp}}/\mathcal{O}_X^\times)_x$  is a  $\mathbf{Z}$ -basis, and let  $(\gamma_j)_{1 \leq j \leq n}$  be the dual  $\mathbf{Z}$ -basis of  $\pi_1(x^{\log})$  in the duality (cf. [KU09, 2.2.9]). Then if we replace  $X$  by some open neighborhood of  $x$ , we have an isomorphism of  $\mathcal{O}_X^{\log}$ -modules*

$$\nu : \mathcal{O}_X^{\log} \otimes L \xrightarrow{\sim} \mathcal{O}_X^{\log} \otimes L_0, \quad L_0 := \text{the stalk } L_y,$$

where  $L_0$  is regarded as a constant sheaf, satisfying the following (1). Let

$$N_j = \log(\gamma_j) : L_{0,\mathbf{Q}} \rightarrow L_{0,\mathbf{Q}},$$

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lift  $q_j$  in  $\Gamma(X, M_X^{\text{gp}})$  (by replacing  $X$  by an open neighborhood of  $x$ ), and let

$$\xi = \exp(\sum_{j=1}^n (2\pi i)^{-1} \log(q_j) \otimes N_j) : \mathcal{O}_X^{\text{log}} \otimes_A L_0 \xrightarrow{\sim} \mathcal{O}_X^{\text{log}} \otimes_A L_0$$

(nilpotent rewinding along  $(\gamma_j)_{1 \leq j \leq n}$ ).

Note that the operator  $\xi = \exp(\sum_{j=1}^n (2\pi i)^{-1} \log(q_j) \otimes N_j)$  depends on the local choices of the branches of  $\log(q_j)$ , but that the subsheaf  $\xi^{-1}(1 \otimes L_0)$  of  $\mathcal{O}_X^{\text{log}} \otimes L_0$ , which we consider in (1) below, is independent of the choices and hence is defined globally on  $X^{\text{log}}$ .

(1) The restriction of  $\nu$  to  $L = 1 \otimes L$  induces an isomorphism of locally constant sheaves

$$\nu : L \xrightarrow{\sim} \xi^{-1}(1 \otimes L_0).$$

If we fix branches of the germs  $\log(q_j)_y$  at  $y$  ( $1 \leq j \leq n$ ), we can take an isomorphism  $\nu$  satisfying (1) as above which satisfies furthermore the following (2).

(2) The branch of  $\xi_y$  defined by the fixed branches of  $\log(q_j)_y$  satisfies

$$\nu_y(1 \otimes v) = \xi_y^{-1}(1 \otimes v) \quad \text{for any } v \in L_0.$$

( $\xi_y^{-1}$  is nilpotent twist along  $(\gamma_j)_{1 \leq j \leq n}$ .)

*Proof.* Let  $L'$  be the locally constant subsheaf  $\xi^{-1}(1 \otimes L_0)$  of  $\mathcal{O}_X^{\text{log}} \otimes L_0$ . Fix a branch of  $\log(q_j)_y$  at  $y$  for  $1 \leq j \leq n$ , and let  $\nu : L_y \rightarrow (L')_y$  be the isomorphism of  $\mathbf{Z}$ -modules  $v \mapsto \xi_y^{-1}(1 \otimes v)$  where  $\xi_y$  is defined by the fixed branches of  $\log(q_j)_y$ . Note here that  $\pi_1(x^{\text{log}})$  acts on the first  $v$  and  $\xi_y^{-1}$  but  $\pi_1(x^{\text{log}})$  acts trivially on the second  $v$ .

Then  $\nu$  preserves the local monodromy actions of  $\pi_1(x^{\text{log}})$  on these stalks of the locally constant sheaves  $L$  and  $L'$ . In fact, for  $v \in L_0 = L_y$  and for  $1 \leq k \leq n$ ,

$$\begin{aligned} \gamma_k(\xi_y^{-1}(1 \otimes v) \text{ in } L'_y) &= \gamma_k(\xi_y)^{-1} \cdot (1 \otimes v) \\ &= \exp(-(\sum_{j=1}^n ((2\pi i)^{-1} \log(q_j)_y - \delta_{jk}) \otimes N_j) \cdot (1 \otimes v)) \\ &= \xi_y^{-1} \exp(1 \otimes N_k)(1 \otimes v) = \xi_y^{-1}(1 \otimes \gamma_k(v \text{ in } L_y)) \end{aligned}$$

(for the signature “ $-$ ” before the Kronecker symbol  $\delta_{jk}$ , see [KU09, Appendix A1]). Hence there is a unique isomorphism  $\nu : L|_{x^{\text{log}}} \rightarrow L'|_{x^{\text{log}}}$  between the pullbacks of  $L$  and  $L'$  to  $x^{\text{log}}$  which induces the above isomorphism  $\nu$  on the stalks at  $y$ .

By the proper base change theorem ([KU09, Appendix A2]) applied to the proper map  $\tau : X^{\text{log}} \rightarrow X$  and to the sheaf  $\mathcal{F}$  of isomorphisms from  $L$  to  $L'$  on  $X^{\text{log}}$ , the isomorphism  $\nu$  extends to an isomorphism  $\nu : L \xrightarrow{\sim} L'$  if we replace  $X$  by some open neighborhood of  $x$  in  $X$ . This isomorphism  $\nu$  induces an isomorphism of  $\mathcal{O}_X^{\text{log}}$ -modules

$$\nu : \mathcal{O}_X^{\text{log}} \otimes L \xrightarrow{\sim} \mathcal{O}_X^{\text{log}} \otimes L' = \mathcal{O}_X^{\text{log}} \otimes L_0. \quad \square$$

**2.3. Example.** Let  $\Delta = \{q \in \mathbf{C} \mid |q| < 1\}$  be the unit disc. We have a standard family of degenerating elliptic curve

$$f : E \rightarrow \Delta,$$

which is a morphism of analytic manifolds, having the following property.

(1) For  $q \in \Delta$  with  $q \neq 0$ ,  $f^{-1}(q) = \mathbf{C}^\times/q^{\mathbf{Z}}$ . This is an elliptic curve. In fact, taking  $\tau \in \mathbf{C}$  with  $q = \exp(2\pi i\tau)$ , we have  $\tau \in \mathfrak{h}$ , and

$$\mathbf{C}/(\mathbf{Z}\tau + \mathbf{Z}) \xrightarrow{\sim} \mathbf{C}^\times/q^{\mathbf{Z}}, \quad (t \bmod (\mathbf{Z}\tau + \mathbf{Z})) \mapsto (\exp(2\pi it) \bmod q^{\mathbf{Z}}).$$

(2)  $f^{-1}(0) = \mathbf{P}^1(\mathbf{C})/(0 \sim \infty)$ .

Explicitly, this  $E$  is defined as  $X/\sim$ , where  $X = \{(t_1, t_2) \in \mathbf{C}^2 \mid |t_1 t_2| < 1\}$  and  $\sim$  is the following equivalence relation. Let  $g : X \rightarrow \Delta$ ,  $(t_1, t_2) \mapsto t_1 t_2$ . For  $a, b \in X$ , if  $a \sim b$ , then  $g(a) = g(b)$ . The restriction of  $\sim$  to  $g^{-1}(q)$  for each  $q \in \Delta$  is defined as follows. Assume first  $q \neq 0$ . Consider the map  $g^{-1}(q) \simeq \mathbf{C}^\times \rightarrow \mathbf{C}^\times/q^{\mathbf{Z}}$  where the first isomorphism is  $(t_1, t_2) \mapsto t_1$ . For  $a, b \in g^{-1}(q)$ ,  $a \sim b$  if and only if the images of  $a, b$  in  $\mathbf{C}^\times/q^{\mathbf{Z}}$  coincide, i.e.,  $(b_1, b_2) = (q^n a_1, q^{-n} a_2)$  for some  $n \in \mathbf{Z}$ . Next assume  $q = 0$ . Consider  $g^{-1}(0) = \{(t_1, t_2) \mid t_1 t_2 = 0\} \rightarrow \mathbf{P}^1(\mathbf{C})/(0 \sim \infty)$ , where the arrow sends  $(t_1, 0)$  to  $t_1$  and  $(0, t_2)$  to  $t_2^{-1}$ . Then, for  $a, b \in g^{-1}(0)$ ,  $a \sim b$  if and only if the images of  $a, b$  in  $\mathbf{P}^1(\mathbf{C})/(0 \sim \infty)$  coincide, i.e.,  $a = b$  or  $\{a, b\} = \{(s, 0), (0, s^{-1})\}$  for some  $s \in \mathbf{C}^\times$ .

The projection  $X \rightarrow E$  is a local homeomorphism. The analytic structure and the log structure of  $E$  are the unique ones for which this projection is locally an isomorphism of analytic spaces with log structures.

Let  $f : E \rightarrow \Delta$  be as above and consider the locally constant sheaf  $L = R^1 f_*^{\log} \mathbf{Z}$  on  $\Delta^{\log}$ . In Theorem 2.2, take  $X = \Delta$ ,  $x = 0 \in \Delta$ , and take the coordinate function  $q$  of  $\Delta$  as  $q_1$  ( $n = 1$  in this situation). Then the element  $\gamma_1$ , which we denote here by  $\gamma$ , is the positive generator of  $\pi_1(\Delta^{\log})$  (represented by a circle in  $\Delta^*$  in the counterclockwise direction).  $L$  has a  $\mathbf{Z}$ -basis  $(e_1, e_2)$  locally on  $\Delta^{\log}$  ( $e_1$  is defined globally but  $e_2$  is determined by a local choice of the branch of  $\log q$ ). Fix a branch of  $\log q$  at  $y$  and take the corresponding  $e_{2,y}$ . We have

$$\begin{aligned} \gamma(e_1) &= e_1, \quad \gamma(e_{2,y}) = e_1 + e_{2,y}, \\ N(e_1) &= 0, \quad N(e_{2,y}) = e_1, \quad \text{where } N = \log \gamma. \end{aligned}$$

Let  $\omega := (2\pi i)^{-1} \log q \otimes e_1 + 1 \otimes e_{2,y}$ . Then  $\mathcal{O}_X^{\log}$ -module  $\mathcal{O}_X^{\log} \otimes L$  has a global base  $(1 \otimes e_1, \omega)$ . We have an isomorphism of  $\mathcal{O}_X^{\log}$ -modules

$$\nu : \mathcal{O}_X^{\log} \otimes L \xrightarrow{\sim} \mathcal{O}_X^{\log} \otimes L_0, \quad 1 \otimes e_1 \mapsto 1 \otimes e_1, \quad \omega \mapsto 1 \otimes e_{2,y}.$$

This  $\nu$  has the property stated in Theorem 2.2 globally on  $\Delta$ . In fact,  $\nu$  sends  $1 \otimes e_1$  to  $1 \otimes e_1 = \xi^{-1}(1 \otimes e_1)$  and  $1 \otimes e_{2,y}$  to  $-(2\pi i)^{-1} \log q \otimes e_1 + 1 \otimes e_{2,y} = \xi^{-1}(1 \otimes e_{2,y})$ .

### §3. Extensions of $\mathbf{Z}$ -structure of higher direct image

We review some results in [U01, 6] concerning extensions of  $\mathbf{Z}$ -structure of a higher direct image of a semi-stable degeneration.

**3.1.** Let  $f : X \rightarrow \Delta$  be a semi-stable degeneration of complex manifolds over a disc with coordinate  $q$ , i.e., a proper, surjective, flat, holomorphic map from a complex manifold onto a unit disc which is smooth over the punctured disc  $\Delta^*$  and the central fiber  $X_0$  is reduced and normal crossing. Assume moreover that  $X \subset \mathbf{P}^n \times \Delta$ , for some  $n$ , and  $f$  is the restriction of the second projection.

**3.2.** In the situation 3.1, we endow  $X$  (resp.  $\Delta$ ) the log structure associated to the divisor  $X_0$  (resp.  $\{0\}$ ). Let  $\omega_{X/\Delta}^\bullet := \Omega_{X/\Delta}^\bullet(\log(X_0))$ ,  $\omega_\Delta^1 := \Omega_\Delta^1(\log(\{0\}))$ . Let  $\omega_\Delta^{1,\log} := \mathcal{O}_\Delta^{\log} \otimes_{\tau^* \mathcal{O}_\Delta} \omega_\Delta^1$ . Let  $w$  be an integer, and let  $\mathcal{V} := R^w f_* \omega_{X/\Delta}^\bullet$  and  $L_{\mathbf{C}} := R^w f_*^{\log} \mathbf{C}$ . Then we have

$$(1) \quad L_{\mathbf{C}} \simeq \text{Ker} (\nabla : \tau_\Delta^* \mathcal{V} \rightarrow \omega_\Delta^{1,\log} \otimes_{\mathcal{O}_\Delta^{\log}} \tau_\Delta^* \mathcal{V}) \quad \text{on } \Delta^{\log},$$

or, equivalently,

$$(2) \quad \mathcal{V} \simeq (\tau_\Delta)_* (\mathcal{O}_\Delta^{\log} \otimes_{\mathbf{C}} L_{\mathbf{C}}) \quad \text{on } \Delta.$$

**3.3.** We use the notation in 3.1 and 3.2. Choose now a multi-valued, flat frame

$$(1) \quad \{e_1, \dots, e_r\}$$

of  $\mathcal{V}|_{\Delta^*}$  from the image of

$$(R^w f_*^{\log} \mathbf{Z})|_{(\tau_\Delta)^{-1}(\Delta^*)} \rightarrow (\tau_\Delta^* \mathcal{V})|_{\tau_\Delta^{-1}(\Delta^*)} = \mathcal{V}|_{\Delta^*}.$$

We regard (1) also as a multi-valued, flat frame of  $\tau_\Delta^* \mathcal{V}$ , by abuse of notation. Putting

$$(2) \quad \tilde{e}_j := \exp(tN) \cdot e_j, \quad t := (2\pi i)^{-1} \log q, \quad N : \log \gamma \text{ for the monodromy,}$$

we have an invariant frame

$$(3) \quad \{\tilde{e}_1, \dots, \tilde{e}_r\}$$

of  $\mathcal{V}|_{\Delta^*}$  (in fact,  $\gamma \tilde{e}_j = \exp((t-1)N) \cdot \gamma e_j = \tilde{e}_j$ , cf. [KU09, Appendix A1]), which extends over  $\Delta$  and induces a frame of the canonical extension  $\mathcal{V}$  of  $\mathcal{V}|_{\Delta^*}$ . We use the same letters for the induced frame of  $\mathcal{V}$ , by abuse of notation.

**Theorem 3.4.** *In the above notation, we have two types of integral structure on  $\mathcal{V} = R^w f_* \omega_{X/\Delta}^\bullet \langle Y \rangle$ :*

(i) *The integral structure determined by the multi-valued, flat frame 2.3 (1) of*

$$\tau_\Delta^* \mathcal{V} \simeq \mathcal{O}_\Delta^{\log} \otimes_{\mathbf{Z}} R^w f_*^{\log} \mathbf{Z} \quad \text{on } \Delta^{\log}.$$

*Here the local monodromy is induced by the  $\mathbf{S}^1$ -action on  $\Delta^{\log}$ .*

(ii) *The integral structure determined by the invariant frame 3.3 (3) of*

$$\mathcal{V} \simeq \mathcal{O}_\Delta \otimes_{\mathbf{Z}} (\tau_\Delta)_* R^w f_*^{\log} (f^{\log})^{-1} \mathbf{Z}[t] \quad \text{on } \Delta.$$

*Here  $t = (2\pi i)^{-1} \log q$  as before, and the monodromy logarithm is given by  $2\pi i \operatorname{Res}_0(\nabla)$ .*

We explain (ii). We may assume that the basis of  $\mathcal{V}(0)$  induced by  $\{\tilde{e}_1, \dots, \tilde{e}_r\}$  respects the monodromy weight filtration  $M$ . Then, by using the frame  $\{\tilde{e}_1, \dots, \tilde{e}_r\}$ , we extend  $M$  over  $\mathcal{V}$ . For  $\tilde{e}_j \in M_k$ , we have

$$\begin{aligned} \nabla \tilde{e}_j &= dt \otimes N \cdot \exp(tN) \cdot e_j = dt \otimes \exp(tN) \cdot N e_j \\ &= dt \otimes \exp(tN) \cdot \left( \sum_\ell a_\ell e_\ell \right) = (2\pi i)^{-1} d \log q \otimes \left( \sum_\ell a_\ell \tilde{e}_\ell \right), \end{aligned}$$

where  $\sum_i a_\ell e_\ell := N e_j \in M_{k-2}$ ,  $a_\ell \in \mathbf{C}$ . Hence

$$\nabla(h(q)\tilde{e}_j) = dh(q) \otimes \tilde{e}_j + (2\pi i)^{-1} h(q) d \log q \otimes \left( \sum_\ell a_\ell \tilde{e}_\ell \right) \in \omega_\Delta^1 \otimes M_k.$$

So we have

$$N = 2\pi i \operatorname{Res}_0(\nabla)$$

under the identification

$$\varpi^*(\mathcal{V}|\Delta^*)(u) \xrightarrow{\sim} \mathcal{V}(0), \quad \tilde{e}_j(u) \mapsto \tilde{e}_j(0),$$

where  $\varpi : \mathfrak{h} \rightarrow \Delta^*$  is the universal covering and  $u \in \mathfrak{h}$ . In fact,

$$\begin{aligned} N(\tilde{e}_j(u)) &= N(\exp(tN) \cdot e_j(u)) = \exp(tN) N(\tilde{e}_j(u)) \\ &= \exp(tN) \left( \sum_\ell a_\ell e_\ell(u) \right) = \sum_\ell a_\ell \tilde{e}_\ell(u). \end{aligned}$$

**3.5.** Note that the integral structures (i) and (ii) of Theorem in 3.4 are independent of the choice of a multi-valued, flat frame 3.3 (1). However, the integral structure (ii) depends on the choice of a coordinate  $q$  on  $\Delta$ . Note also that the integral structure (i) of Theorem in 3.4 is the one in the limiting mixed Hodge structure of Schmid [Sc73], whereas the integral structure (ii) is the one in the limiting mixed Hodge structure of Steenbrink [St76].

**3.6.** The result of [KU01] is widely generalized as “relative rounding” by Nakayama and Ogus [NO10].

#### §4. Examples of spaces of nilpotent orbits

**4.1.** *Example* ([KU09, §0]). Let  $H_0 = \mathbf{Z}^2 = \mathbf{Z}e_1 \oplus \mathbf{Z}e_2$ , weight is 1, and  $\langle e_2, e_1 \rangle = 1$ . Let  $N$  be the nilpotent endomorphism of  $H_0$  defined by  $H(e_2) = e_1$ ,  $N(e_1) = 0$ . Then  $W := W(N)[-1]$  is

$$W_{-1} = 0 \subset W_0 = W_1 = \mathbf{R}e_1 \subset W_2 = H_{0,\mathbf{R}}.$$

We identify  $\mathrm{gr}^W = H_{0,\mathbf{R}}$  by this basis  $e_1, e_2$ .

For  $\tau \in \mathfrak{h}$ ,  $F = F(\tau e_1 + e_2)$  is

$$F^2 = 0 \subset F^1 = \mathbf{C} \cdot (\tau e_1 + e_2) \subset F^0 = H_{0,\mathbf{C}}.$$

Then

$$F^1(\mathrm{gr}_2^W) = \mathbf{C}e_2, \quad F^0(\mathrm{gr}_0^W) = \mathbf{C}e_1, \quad F^p(\mathrm{gr}_w^W) = 0 \text{ for the other } (p, w).$$

Let

$$\Sigma := \{\mathbf{R}_{\geq 0}N \mid N \text{ is a nilpotent element of } \mathfrak{g}_{\mathbf{Q}}\}.$$

Then  $\Sigma$  is a fan in  $\mathfrak{g}_{\mathbf{Q}}$ . We see that the set of nilpotent orbits is  $D_{\Sigma} = D \cup \mathbf{P}^1(\mathbf{Q})$ . This is explained as follows. For  $a \in \mathbf{P}^1(\mathbf{Q})$ , let  $V_a$  be the one-dimensional  $\mathbf{R}$ -vector subspace of  $H_{0,\mathbf{R}}$  corresponding to  $a$ , that is,  $V_a = \mathbf{R}(ae_1 + e_2)$  if  $a \in \mathbf{Q}$ , and  $V_{\infty} = \mathbf{R}e_1$ . For  $a \in \mathbf{P}^1(\mathbf{Q})$ , define a sharp rational nilpotent cone  $\sigma_a$  by

$$\sigma_a = \{N \in \mathfrak{g}_{\mathbf{R}} \mid N(H_{0,\mathbf{R}}) \subset V_a, \quad N(V_a) = \{0\}, \quad \langle x, N(x) \rangle_0 \geq 0 \text{ for any } x \in H_{0,\mathbf{R}}\}.$$

We identify  $a \in \mathbf{P}^1(\mathbf{Q})$  with the nilpotent orbit  $(\sigma_a, Z_a) \in D_{\Sigma}$  where  $Z_a = \{F \in \check{D} = \mathbf{P}^1(\mathbf{C}) \mid F^1 \neq V_{a,\mathbf{C}}\}$ . For example,

$$\sigma_{\infty} = \begin{pmatrix} 0 & \mathbf{R}_{\geq 0} \\ 0 & 0 \end{pmatrix}, \quad Z_{\infty} = \mathbf{C} \subset \mathbf{P}^1(\mathbf{C}) = \check{D}.$$

For

$$\Gamma = \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix} \subset \mathrm{SL}(2, \mathbf{Z}), \quad \sigma = \sigma_{\infty} = \begin{pmatrix} 0 & \mathbf{R}_{\geq 0} \\ 0 & 0 \end{pmatrix},$$

we have a commutative diagram of analytic spaces

$$\begin{array}{ccc} \Delta^* & \simeq & \Gamma \backslash D \\ \cap & & \cap \\ \Delta & \simeq & \Gamma \backslash D_{\sigma}. \end{array}$$

Here the upper isomorphism sends  $e^{2\pi i\tau} \in \Delta^*$  ( $\tau \in \mathfrak{h} = D$ ) to  $(\tau \bmod \Gamma)$ , the lower isomorphism extends the upper isomorphism by sending  $0 \in \Delta$  to the class of the nilpotent orbit  $((\sigma_{\infty}, \mathbf{C}) \bmod \Gamma)$ .

**4.2.** *Example*  $0 \rightarrow \mathbf{Z}(1) \rightarrow H \rightarrow \mathbf{Z} \rightarrow 0$  ([KNU.p, 7.1.1]).

**Description 1.** Let  $H_0 = \mathbf{Z}^2 = \mathbf{Z}e_1 \oplus \mathbf{Z}e_2$ , and let

$$W_{-3} = 0 \subset W_{-2} = W_{-1} = \mathbf{R}e_1 \subset W_0 = H_{0,\mathbf{R}}.$$

Let  $e'_1 := e_1 \in \text{gr}_{-2}^W = W_{-2}$  and let  $e'_2$  be the image of  $e_2$  in  $\text{gr}_0^W$ . Let  $\langle \cdot, \cdot \rangle_{-2}$  (resp.  $\langle \cdot, \cdot \rangle_0$ ) be the bilinear form on  $\text{gr}_{-2}^W$  (resp.  $\text{gr}_0^W$ ) defined by  $\langle e'_1, e'_1 \rangle_{-2} = 1$  (resp.  $\langle e'_2, e'_2 \rangle_0 = 1$ ). Let  $h^{0,0} = h^{-1,-1} = 1$  and  $h^{p,q} = 0$  for other  $(p, q)$ . Then the classifying space  $D$  of mixed Hodge structures with polarized grades quotients becomes  $\mathbf{C} \simeq D$ ,  $t \mapsto F = F(te_1 + e_2)$ , defined by

$$F^1 = 0 \subset F^0 = \mathbf{C} \cdot (te_1 + e_2) \subset F^{-1} = H_{0,\mathbf{C}}.$$

Let  $G_{\mathbf{Z}} := \text{Aut}(H_0, W, (\langle \cdot, \cdot \rangle_w)_w)$ , and let  $\Gamma := G_{\mathbf{Z},u} = \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix}$ . Let  $\Sigma := \{\sigma, -\sigma, \{0\}\}$  with  $\pm\sigma = \begin{pmatrix} 0 & \pm\mathbf{R}_{\geq 0} \\ 0 & 0 \end{pmatrix} \subset \mathfrak{g}_{\mathbf{R}} := \text{Lie } G_{\mathbf{R}}$ . Then

$$\begin{aligned} D = \mathbf{C} \rightarrow \mathbf{C}^\times &= \Gamma \backslash D \subset \mathbf{P}^1(\mathbf{C}) = \Gamma \backslash D_\Sigma \\ t \mapsto q &= e^{2\pi it}, & 0 &\mapsto (\sigma, D), \\ & & \infty &\mapsto (-\sigma, D). \end{aligned}$$

Endow  $\Gamma \backslash D_\Sigma = \mathbf{P}^1(\mathbf{C})$  with the log structure corresponding to the divisor  $\{0, \infty\}$ . Then  $(\Gamma \backslash D_\Sigma)^{\text{log}} = [0, \infty] \times \mathbf{S}^1$ .

**Description 2.** Let  $S$  be an object in the category  $\mathcal{B}(\text{log})$  ([KU09, 3.2.4], [KNU.p, 1.1.4]). Let  $Q = (H_{(w)})_w$  with  $H_{-2} = \mathbf{Z}(1)$ ,  $H_{(0)} = \mathbf{Z}$ , and  $H_{(w)} = 0$  for other  $w$ . For  $\Sigma$  in the above Description 1, we have

$$\begin{aligned} \text{LMH}_Q &= \mathcal{E}xt_{\text{LMH}}^1(\mathbf{Z}, \mathbf{Z}(1)) = M^{\text{gp}} \\ &\cup \\ \text{LMH}_Q^{(\Sigma)} &= \mathcal{M}or_{\mathcal{B}(\text{log})}(-, \mathbf{P}^1(\mathbf{C})) = M \cup M^{-1}. \end{aligned}$$

In fact, for  $T \in \mathcal{B}(\text{log})$ ,  $a \in \Gamma(T, M_T^{\text{gp}})$  corresponds to the following  $H \in \text{LMH}_Q(T)$ :

Local system  $H_{\mathbf{Z}}$  on  $T^{\text{log}}$  is given by

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbf{Z}(1) & \longrightarrow & H_{\mathbf{Z}} & \longrightarrow & \mathbf{Z} & \longrightarrow & 0 \\ & & \parallel & & \downarrow c & & \downarrow & & \\ 0 & \longrightarrow & \mathbf{Z}(1) & \longrightarrow & \mathcal{L}_T & \longrightarrow & \tau^{-1}(M_T^{\text{gp}}) & \longrightarrow & 0, \end{array}$$

where the right vertical arrow is defined by  $1 \mapsto a^{-1}$ , and the right square is defined to be cartesian.

Weight filtration is the same as in Description 1.



Hodge filtration is given by

$$F^1 = 0 \subset F^0 = \text{Ker}(\tilde{c} : \mathcal{O}_T^{\text{log}} \otimes H_{\mathbf{Z}} \rightarrow \mathcal{O}_T^{\text{log}}) \subset F^{-1} = \mathcal{O}_T^{\text{log}} \otimes H_{\mathbf{Z}},$$

where  $\tilde{c}$  is the  $\mathcal{O}_T^{\text{log}}$ -linear map induced by  $H_{\mathbf{Z}} \xrightarrow{c} \mathcal{L}_T \subset \mathcal{O}_T^{\text{log}}$ .

**4.3.** *Example*  $h^{p,q} = 1$  ( $p + q = 3$ ,  $p, q \geq 0$ ) ([KU09, 12.3]).

Let  $H_0 = \mathbf{Z}^4 = \mathbf{Z}e_1 \oplus \mathbf{Z}e_2 \oplus \mathbf{Z}e_3 \oplus \mathbf{Z}e_4$ , weight is 3, and  $\langle e_3, e_1 \rangle = \langle e_4, e_2 \rangle = 1$ . Define  $M(2, \mathbf{C}) \times \mathbf{C} \hookrightarrow \check{D}$ ,  $(\tau = (\tau_{jk}), v) \mapsto F = F(\tau, v)$  by

$$\begin{aligned} F^4 &= 0 \subset F^3 = \mathbf{C} \cdot (v(\tau_{11}e_1 + \tau_{12}e_2 + e_3) + (\tau_{12}e_1 + \tau_{22}e_2 + e_4)) \\ &\subset F^2 = \mathbf{C} \cdot (\tau_{11}e_1 + \tau_{12}e_2 + e_3) + \mathbf{C} \cdot (\tau_{12}e_1 + \tau_{22}e_2 + e_4) \\ &\subset F^1 = (F^3)^\perp = F^2 + \mathbf{C} \cdot (e_1 - ve_2) \subset F^0 = H_{0, \mathbf{C}}. \end{aligned}$$

We see that  $F(\tau, v) \in D$  if and only if

$$\begin{aligned} v\bar{v} \text{Im}(\tau_{11}) + (v + \bar{v}) \text{Im}(\tau_{12}) + \text{Im}(\tau_{22}) &< 0 \quad \text{and} \\ \det(\text{Im}(\tau)) &< 0. \end{aligned}$$

$G_{\mathbf{C}} := \text{Sp}(2, \mathbf{C}) \ni \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  acts on these  $F(\tau, v) \in \check{D}$  by

$$\begin{aligned} F(\tau, v) &\mapsto F((A\tau + B)(C\tau + D)^{-1}, v'), \quad \text{where} \\ v' &= (pv + q)(rv + s)^{-1} \quad \text{if } C\tau + D = \begin{pmatrix} p & q \\ r & s \end{pmatrix} \end{aligned}$$

Let  $N_\alpha, N_\beta$ , and  $N_m$  with  $m \in S :=$  (square free positive integer) be nilpotent elements in  $\mathfrak{g}_{\mathbf{Q}} := \text{Lie } G_{\mathbf{Q}}$  defined by

$$\begin{aligned} N_\alpha(e_3) &= e_1, N_\alpha(e_j) = 0 \quad \text{for } j \neq 3, \\ N_\beta(e_4) &= e_3, N_\beta(e_3) = -e_1, N_\beta(e_1) = -e_2, N_\beta(e_2) = 0. \\ N_m(e_1) &= e_3, N_m(e_3) = 0, N_m(e_4) = -me_2, N_m(e_2) = 0. \end{aligned}$$

Let  $\sigma_\mu := \mathbf{R}_{\geq 0}N_\mu$  for  $\mu = \alpha, \beta, m$ . Then we have

**Proposition.** *The fan  $\Sigma$  consisting of  $\{0\}$  and all rational nilpotent cones of rank 1 in  $\mathfrak{g}_{\mathbf{R}}$  is given as  $\{\text{Ad}(g)\sigma \mid \sigma = \{0\}, \sigma_\alpha, \sigma_\beta, \sigma_m \ (m \in S), g \in G_{\mathbf{Q}}\}$ .*

$\Sigma$  is a complete fan, i.e., if there exists  $Z \subset \check{D}$  such that  $(\sigma, Z)$  is a nilpotent orbit, then  $\sigma \in \Sigma$ .

Let  $D_\Sigma := \{(\sigma, Z) \text{ nilpotent orbit} \mid \sigma \in \Sigma, Z \subset \check{D}\}$ . We consider a boundary point  $(\sigma_\beta, Z) \in D_\Sigma$ . The monodromy weight filtration  $W := W(N)[-3]$  is

$$\begin{aligned} W_{-1} &= 0 \subset W_0 = W_1 = \mathbf{R}e_2 \subset W_2 = W_3 = W_1 + \mathbf{R}e_1 \\ &\subset W_4 = W_5 = W_3 + \mathbf{R}e_3 \subset W_6 = H_{0, \mathbf{R}}. \end{aligned}$$

We identify  $\text{gr}^W = H_{0,\mathbf{R}}$  by the basis  $e_2, e_1, e_3, e_4$ . Then, for  $F \in Z$ , we have

$$F^3(\text{gr}_6^W) = \mathbf{C}e_4, F^2(\text{gr}_4^W) = \mathbf{C}e_3, F^1(\text{gr}_2^W) = \mathbf{C}e_1, F^0(\text{gr}_0^W) = \mathbf{C}e_2, \\ F^p(\text{gr}_w^W) = 0 \text{ for the other } (p, w).$$

*Remark.* By using the above toroidal partial compactification  $\Gamma \backslash D_\Sigma$ , generic global Torelli theorem was proved for mirror quintic threefolds by the author [U08], and for similar one-parameter mirror families to weighted hypersurfaces by Shirakawa [Sh09].

## §5. Comments on general theory

### 5.1. Fundamental diagram [KNU.p].

Let  $D$  be a classifying space of mixed Hodge structures with polarized graded quotients ([U84]). It is a complex analytic manifold, and is a mixed Hodge theoretic generalization of a classifying space of polarized Hodge structures defined by Griffiths ([G68]).

In the series of papers [KNU08], [KNU09], [KNU11a], [KNU.p], we constructed the fundamental diagram consisting of various partial compactifications of  $D$ :

$$\begin{array}{ccccccc} & & & & D_{\text{SL}(2),\text{val}} & \hookrightarrow & D_{\text{BS},\text{val}} \\ & & & & \downarrow & & \downarrow \\ D_{\Sigma,\text{val}} & \leftarrow & D_{\Sigma,\text{val}}^\# & \rightarrow & D_{\text{SL}(2)} & & D_{\text{BS}} \\ & & \downarrow & & & & \\ & & D_\Sigma & \leftarrow & D_\Sigma^\# & & \end{array}$$

Here  $D_\Sigma$  is the space of nilpotent orbits in the directions in  $\Sigma$ ,  $D_{\text{SL}(2)}$  is the space of  $\text{SL}(2)$ -orbits, and  $D_{\text{BS}}$  is the space of Borel-Serre.

For the degeneration of polarized (pure) Hodge structures, this diagram was constructed in [KU09].

### 5.2. The following is known:

- 1 Local fan always exist ([KU09], [KNU.p]).
- 2 Global fan does not exist even for connected Néron model ([KNU.p]).
- 3 Weak fan always exist for Néron model with any  $\text{gr}^W$  ([KNU.p]).
- 4 Valuative formulation of period map  $S_{\text{val}} \rightarrow \Gamma \backslash D_{\text{val},(\Gamma)}$  ([KNU11b]). Here  $D_{\text{val},(\Gamma)} \subset D_{\text{val}}$  is the union of  $D_{\sigma,\text{val}}$  for  $\sigma \in \mathcal{C}_\Gamma$  with  $\mathcal{C}_\Gamma$  being the set of all sharp rational nilpotent cones in  $\mathfrak{g}_{\mathbf{R}}$  generated by the logarithms of finite number of elements of a given subgroup  $\Gamma$  of  $G_{\mathbf{Z}}$ . This valuative formulation has an advantage that we do not need any fan or a weak fan  $\Sigma$ .

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