# ARITHMETIC FAKE COMPACT HERMITIAN SYMMETRIC SPACES OF TYPE $A_3$

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ABSTRACT. The quotient of a Hermitian symmetric space of non-compact type by a torsion-free cocompact arithmetic subgroup of the identity component of the group of isometries of the symmetric space is called an arithmetic fake compact Hermitian symmetric space if it has the same Betti numbers as the compact dual of the Hermitian symmetric space. Arithmetic fake compact Hermitian symmetric spaces of types other than  $A_1$  and  $A_3$  have been classified in our earlier work and the work of Cartwright-Steger. There are many known examples of type  $A_1$  and there may not be a convenient way to describe them all, but there are already descriptions under some restrictions given in Shavel and Linowitz-Stover-Voight. In this article, we study the remaining type  $A_3$ . We show that there are no new example except possibly a handful of explicit candidates.

#### 1. Introduction

This paper is a sequel to our paper [PY3] and we expect that the reader is familiar with the basic definitions and techniques of the earlier paper. The notion of arithmetic fake compact Hermitian symmetric space has been defined in 1.2 of [PY3]. It has been shown in [PY2] and [PY3] that arithmetic fake compact Hermitian symmetric spaces can only be of type  $A_1$ ,  $A_2$ ,  $A_3$  and  $A_4$ . The ones of type  $A_2$  are the fake projective planes which are smooth projective complex algebraic surfaces of considerable interest. These have been classified in [PY1] (please see the corrected version of this paper posted on arXiv) in 28 classes, each of which is non-empty, and we know now that up to biholomorphism there are 100 fake projective planes from [CS]. It has been shown in [PY2] that there are some arithmetic fake compact Hermitian symmetric spaces of type  $A_4$ , for example a few arithmetic fake  $\mathbf{P}_{\mathbf{C}}^4$  and also a few arithmetic fake  $Gr_{2.5}$ . Using the techniques of [PY1] and the results of [PY2] it should not be hard to determine all arithmetic fake compact Hermitian symmetric spaces of type  $A_4$ . On the other hand, there are too many examples of such spaces of type  $A_1$  for a convenient classification, though there are some cases classified in [BSV], [V]. Thus the only arithmetic fake compact Hermitian symmetric spaces which possibly can be classified, but have remained to be classified, are the ones of type  $A_3$ . The computations for  $A_3$  are considerably more difficult than the ones handled in [PY3]. The goal of this article is to investigate spaces of type  $A_3$ . To this end, we show that there are none except possibly those arising from the pairs of number fields listed in Theorem 1 at the end of Section 6. In these possible cases, one may hope to complete the classification in the same way as the classification of fake projective planes achieved in [PY1], [CS]. However, at this moment it appears that the computational power of available softwares is not sufficient to carry out the

required computation. In sections 7 and 8, we list some questions that may help to decipher the possibilities in the future.

1.1 We begin by giving a description of groups of type  $A_3$  of interest to us in this paper. We refer the readers to §1, page 380-381 in [PY2] for more details and references. Let k be a number field of degree d over  $\mathbb{Q}$  and  $V_f$  be the set of nonarchimedean places of k. Let G be an absolutely almost simple simply connected k-group of type  $A_3$ . Let  $v_1, \ldots, v_r$  be the archimedean places of k where G is isotropic (equivalently,  $G(k_{v_i})$  is noncompact) and let  $\mathcal{G} = \prod_{i=1}^r G(k_{v_i})$  considered as a real Lie group with with the product topology. Let X denote the symmetric space of  $\mathcal{G}$  and  $X_u$  be the compact dual of X. We assume that  $r \geq 1$  and the symmetric space X is Hermitian. Then for  $i \leq r$ ,  $G(k_{v_i})$  is isomorphic to  $SU(m_i, 4 - m_i)$ , with  $m_i \neq 0$ , hence each  $v_i$  is real. Moreover, for any archimedean place v of k where G is anisotropic,  $G(k_v)$  is isomorphic to the compact group SU(4), so any such v is also real. Thus we see that k is totally real and G is of type  $^2A_3$ , i.e., it is an outer form of a split group. Let  $\ell$  be the quadratic extension of k over which G is inner. Then  $\ell$  is totally complex.

From the classification of the classical groups we know that there is a division algebra  $\mathscr{D}$  with center  $\ell$  and of degree  $\mathfrak{d}$  dividing 4,  $\mathscr{D}$  given with an involution  $\sigma$  of second kind such that k is fixed pointwise under  $\sigma$ , and a hermitian form k on  $\mathscr{D}^{4/\mathfrak{d}}$  defined in terms of the involution  $\sigma$  so that k is the special unitary group  $\mathrm{SU}(k)$ . Since the division algebra  $\mathscr{D}$  is equipped with an involution  $\sigma$  of second kind, if k is a place of k which does not split in k, then  $k_k \otimes_k \mathscr{D}$  is the matrix algebra k0, where k0 denotes the field k1 denotes the field k2 denotes the field k3 denotes the field k4.

If  $\mathfrak{d} \neq 1$ , i.e.,  $\mathscr{D} \neq \ell$ , then  $\mathfrak{d} = 4$  or 2. Let v be a place of k which splits in  $\ell$ , then there is a division algebra  $\mathfrak{D}_v$ , with center  $k_v$ , and of degree  $\mathfrak{d}_v$ ,  $\mathfrak{d}_v \mid \mathfrak{d}_v$ , such that  $k_v \otimes_k \mathscr{D} = M_{\mathfrak{d}/\mathfrak{d}_v}(\mathfrak{D}_v) \times M_{\mathfrak{d}/\mathfrak{d}_v}(\mathfrak{D}_v^o)$ , where  $\mathfrak{D}_v^o$  is the opposite of  $\mathfrak{D}_v$ , and the involution  $\sigma$  interchanges the two factors of  $k_v \otimes_k \mathscr{D}$ . For such a v,  $G(k_v)$  is isomorphic to  $\mathrm{SL}_{\mathfrak{d}/\mathfrak{d}_v}(\mathfrak{D}_v)$ .

Let  $\mathscr{T}_0$  be the set of places v of k which split in  $\ell$  and  $\mathfrak{d}_v > 1$ . From Albert-Brauer-Hasse-Noether theorem we know that only for finitely many v,  $\mathfrak{d}_v > 1$ , and moreover, there exists v such that  $\mathfrak{d}_v = \mathfrak{d}$ , so  $\mathscr{T}_0$  is finite and nonempty (if  $\mathfrak{d} \neq 1$ ). As k is totally real and  $\ell$  is totally complex, none of the archimedean places of k split in  $\ell$ . So every  $v \in \mathscr{T}_0$  is nonarchimedean.

1.2 Let  $\overline{G}$  be the adjoint group of G and let  $\pi: G \to \overline{G}$  be the natural isogeny. The kernel C of this isogeny is described in 1.5 of [PY3] for groups of type  ${}^2A_n$ ; for n=3,  $C(\mathbf{R})$  is a cyclic group of order 4. We will denote the image  $\pi(\mathscr{G}) \subseteq \prod_{i=1}^r \overline{G}(k_{v_i})$  by  $\overline{\mathscr{G}}$ . The subgroup  $\overline{\mathscr{G}}$  is the identity component of  $\prod_{i=1}^r \overline{G}(k_{v_i})$ . Let  $\Pi \subset \overline{\mathscr{G}}$  be the fundamental group of an arithmetic fake compact Hermitian symmetric space (arithmetic with respect to the k-group structure on G) which is a compact quotient of X. Then  $\Pi$  is a torsion-free co-compact discrete subgroup of  $\overline{\mathscr{G}}$ , and  $\Pi$  is arithmetic with respect to the k-group structure on G. Let  $\widetilde{\Pi}$  be the inverse image of  $\Pi$  in  $\mathscr{G}$ . Then as the kernel of  $\widetilde{\Pi} \to \Pi$  is a subgroup of order  $4^r$ , and the absolute value of the Euler-Poincaré characteristic  $\chi(\Pi)$  of  $\Pi$  equals  $\chi(X_u)$ , the absolute

value of the Euler-Poincaré characteristic  $\chi(\widetilde{\Pi})$  of  $\widetilde{\Pi}$  (in the sense of C. T. C. Wall, see [Se], §1.8) equals  $\chi(X_u)/4^r$ . Now let  $\Gamma$  be a maximal discrete subgroup of  $\mathscr{G}$ containing  $\widetilde{\Pi}$  and  $\Lambda = \Gamma \cap G(k)$ , G(k) embedded in  $\mathscr{G} = \prod_{i=1}^r G(k_{v_i})$  diagonally. The subgroup  $\Lambda$  is a "principal" arithmetic subgroup, i.e., for every nonarchimedean place v of k, the closure  $P_v$  of  $\Lambda$  in  $G(k_v)$  is a parahoric subgroup. By the strong approximation property of the simply connected semi-simple group G we see that  $\Lambda = G(k) \cap \prod P_v$ , moreover  $\Gamma$  is the normalizer of  $\Lambda$  in  $\mathscr{G}$ ; see Proposition 1.4 of [BP] for these results. In terms of the Haar measure  $\mu$  used in [P] and [BP], and to be used here,  $\chi(\Pi) = \chi(X_u)\mu(\mathcal{G}/\Pi)$ . So we conclude that  $\mu(\mathcal{G}/\Pi) = 1/4^r$  and therefore  $\mu(\mathcal{G}/\Gamma)$  is a submultiple of  $1/4^r$  (i.e., it is the reciprocal of  $4^r n$ , where n is a natural number). Hence, in particular,  $4^r \mu(\mathcal{G}/\Gamma) \leq 1$ .

**1.3** Let  $\mathscr{T}$  be the set of nonarchimedean places v of k such that either (i) v does not ramify in  $\ell$  (equivalently, G splits over an unramified extension of  $k_v$ ) and  $P_v$  is not a hyperspecial parahoric subgroup of  $G(k_v)$ , or (ii) v ramifies in  $\ell$  and either G is not quasi-split over  $k_v$  (i.e., its  $k_v$ -rank is 1) or  $P_v$  is not special. (Note that  $\mathscr T$ is precisely the set of nonarchimedean places v of k such that  $e'(P_v) \neq 1$ . This  $\mathscr{T}$ contains the  $\mathcal{T}$  introduced in §2.10 of [PY3].) As every place  $v \in \mathcal{T}_0$  splits in  $\ell$ , but G does not split over  $k_v$  (and hence  $G(k_v)$  cannot contain a hyperspecial parahoric subgroup) we see that  $\mathcal{T}_0 \subseteq \mathcal{T}$ .

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## 2. Preliminaries

2.1 All unexplained notation are from [P], [BP] and [PY3]. The reader may see §8, p. 716, of [PY3] for some general discussions. The value of  $\mathfrak{s}(\mathscr{G})$  given in [P] for  ${}^{2}A_{3}$  is 5, see also §3.1 of [BP]. Hence the formula for the covolume of the principal arithmetic group  $\Lambda = G(k) \cap \prod P_v$  given in [P] is:

(1) 
$$\mu(\mathcal{G}/\Lambda) = (D_k D_\ell)^{5/2} \left(\frac{3}{2^7 \pi^9}\right)^d \mathcal{E},$$

as obtained in equation (3), p. 690 in §2.6 of [PY3], where

$$\mathscr{E} = \prod_{v \in V_f} e(P_v)$$

(2) 
$$\mathscr{E} = \prod_{v \in V_f} e(P_v)$$

$$= \zeta_k(2) L_{\ell|k}(3) \zeta_k(4) \prod_{v \in V_f} e'(P_v).$$

The index of  $\Lambda$  in the maximal arithmetic  $\Gamma$  is bounded by (see (54) in §8 of [PY3])

$$[\Gamma : \Lambda] \leqslant 2^{d+2r+2\#\mathscr{T}} h_{\ell,4},$$

where  $h_{\ell,4}$  is the order of the subgroup of the class group of  $\ell$  consisting of elements of order dividing 4 and  $\#\mathcal{T}$  is the cardinality of  $\mathcal{T}$  defined in 1.3.

$$e(P_v) = \frac{q_v^{(\dim \overline{M}_v + \dim \overline{\mathcal{M}}_v)/2}}{\# \overline{M}_v(\mathfrak{f}_v)},$$

$$e'(P_v) = e(P_v) \cdot \frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{g_v^{\dim\overline{\mathcal{M}}_v}},$$

in the notation of [P], where  $\overline{M}_v$  is the reductive quotient of the Bruhat-Tits integral model of  $P_v$  over  $\mathscr{O}_{k_v}$  while  $\overline{\mathscr{M}}_v$  is the reductive quotient of an integral model over  $\mathscr{O}_{k_v}$  of a unique quasi-split inner form of  $G/k_v$ . The reader may refer to Chapter 18 of [KP] for more details. We first list the possible values of  $\frac{\#\overline{\mathscr{M}}_v(\mathfrak{f}_v)}{q_v^{\dim\overline{\mathscr{M}}_v}}$  in the following.

Case 1: v splits in  $\ell$ . Then  $\overline{\mathcal{M}}_v = SL_4$  and

$$\frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{q_v^{\dim\overline{\mathcal{M}}_v}} = \left(1 - \frac{1}{q_v^2}\right) \left(1 - \frac{1}{q_v^3}\right) \left(1 - \frac{1}{q_v^4}\right).$$

Case 2: v is inert in  $\ell$ , i.e., it does not split in  $\ell$ , but is unramified. Then  $\overline{\mathcal{M}}_v = SU_4$  and

$$\frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{q_v^{\dim \overline{\mathcal{M}}_v}} = \left(1 - \frac{1}{q_v^2}\right) \left(1 + \frac{1}{q_v^3}\right) \left(1 - \frac{1}{q_v^4}\right).$$

Case 3: v is ramified in  $\ell$ . Then  $\overline{\mathscr{M}}_v$  is of type  $C_2$  and

$$\frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{q_v^{\dim\overline{\mathcal{M}}_v}} = \left(1 - \frac{1}{q_v^2}\right) \left(1 - \frac{1}{q_v^4}\right).$$

**2.3** Now we list the values of  $e(P_v)$  and  $e'(P_v)$ . Recall that connected isogenous groups over a finite field have equal number of rational points, cf. [B], Proposition 16.8, p. 212.

Case 1: v splits in  $\ell$  and G splits over  $k_v$ . There are five possibilities:

Case 1a:  $P_v$  is hyperspecial, then  $\overline{M}_v = SL_4$ , and in this case

$$e(P_v) = \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 - \frac{1}{q_v^3}\right)^{-1} \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = 1.$$

Case 1b:  $\overline{M}_v$  is isogenous to  $GL_3$ , in which case

$$e(P_v) = q_v^3 \left(1 - \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 - \frac{1}{q_v^3}\right)^{-1}, \ e'(P_v) = (q_v + 1)(q_v^2 + 1).$$

Case 1c:  $\overline{M}_v$  is isogenous to  $GL_1 \times (SL_2)^2$ , in which case

$$e(P_v) = q_v^4 \left(1 - \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-2}, \ e'(P_v) = (q_v^2 + 1)(q_v^2 + q_v + 1).$$

Case 1d:  $\overline{M}_v$  is isogenous to  $(GL_1)^2 \times SL_2$ , in which case

$$e(P_v) = q_v^5 \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 - \frac{1}{q_v}\right)^{-2}, \ e'(P_v) = (q_v + 1)(q_v^2 + 1)(q_v^2 + q_v + 1).$$

Case 1e:  $P_v$  is a Iwahori subgroup, then  $\overline{M}_v = (GL_1)^3$  and

$$e(P_v) = q_v^6 \left(1 - \frac{1}{q_v}\right)^{-3}, \ e'(P_v) = (q_v + 1)^2 (q_v^2 + 1)(q_v^2 + q_v + 1).$$

Case 2: v splits in  $\ell$  and G does not split over  $k_v$ . There are three possibilities:

Case 2a:  $\operatorname{rank}_{k_v} G = 0$ . In this case,  $\overline{M}_v = R_{\mathsf{F}_v/\mathfrak{f}_v}(\mathrm{GL}_1)/\mathrm{GL}_1$ , where  $\mathsf{F}_v$  is the extension of degree 4 of  $\mathfrak{f}_v$ . In this case,

$$e(P_v) = q_v^6 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 + \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^2 - 1)(q_v^3 - 1).$$

Case 2b(i): rank<sub> $k_v$ </sub>G = 1 and  $P_v$  is a maximal parahoric subgroup. In this case  $\overline{M}_v$  is isogenous to the product of  $R_{\mathfrak{F}_v/\mathfrak{f}_v}(\mathrm{SL}_2)$  with the unique 1-dimensional  $\mathfrak{f}_v$ -anisotropic torus  $R_{\mathfrak{F}_v/\mathfrak{f}_v}^{(1)}(\mathrm{GL}_1) = R_{\mathfrak{F}_v/\mathfrak{f}_v}(\mathrm{GL}_1)/\mathrm{GL}_1$ , where, here and below,  $\mathfrak{F}_v$  is the quadratic extension of  $\mathfrak{f}_v$ , and

$$e(P_v) = q_v^4 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^3 - 1).$$

Case 2b(ii): rank<sub>k<sub>v</sub></sub>G=1 and  $P_v$  is an Iwahori subgroup. In this case  $\overline{M}_v$  is isomorphic to  $R_{\mathfrak{F}_v/\mathfrak{f}_v}((\mathrm{GL}_1)^2)/\mathrm{GL}_1$  and

$$e(P_v) = q_v^6 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^2 + 1)(q_v^3 - 1).$$

Case 3: v is inert (i.e., unramified) in  $\ell$ .

Case 3a:  $\operatorname{rank}_{k_v}G=2$  (i.e. G is quasi-split over  $k_v$ ). There are five possible subcases.

Case 3a(i):  $P_v$  is hyperspecial, in which case  $\overline{M}_v = \overline{\mathcal{M}}_v$  and these groups are isomorphic to  $SU_4$  over  $\mathfrak{f}_v$ . In this case,

$$e(P_v) = \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 + \frac{1}{q_v^3}\right)^{-1} \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = 1.$$

Case 3a(ii):  $\overline{M}_v$  is isgenous to the product of  $SL_2 \times SL_2$  with the 1-dimensional  $\mathfrak{f}_v$ -anisotropic torus. In this case

$$e(P_v) = q_v^4 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-2}, \ e'(P_v) = (q_v^2 + 1)(q_v^2 - q_v + 1).$$

Case  $\Im a(iii)$ :  $\overline{M}_v$  is isogenous to the product  $R_{\mathfrak{F}_v/\mathfrak{f}_v}(\mathrm{SL}_2) \times \mathrm{GL}_1$  in which case

$$e(P_v) = q_v^4 \left(1 - \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = (q_v + 1)(q_v^3 + 1).$$

Case 3a(iv):  $\overline{M}_v$  is isogenous to the product of  $SL_2$  and the torus  $R_{\mathfrak{F}_v/\mathfrak{f}_v}(GL_1)$ . In this case,

$$e(P_v) = q_v^5 \left(1 - \frac{1}{q_v^2}\right)^{-2}, \ e'(P_v) = (q_v^2 + 1)(q_v^3 + 1).$$

Case  $\Im a(v)$ :  $P_v$  is an Iwahori subgroup. Then  $\overline{M}_v$  is isogenous to the product  $R_{\mathfrak{F}_v/\mathfrak{f}_v}(\mathrm{GL}_1) \times \mathrm{GL}_1$ . In this case,

$$e(P_v) = q_v^6 \left(1 - \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v + 1)(q_v^2 + 1)(q_v^3 + 1).$$

Case 3b:  $\operatorname{rank}_{k_v} G = 1$ . There are two subcases.

Case 3b(i):  $P_v$  is a maximal parahoric subgroup. In this case  $\overline{M}_v$  is isogenous to the product of  $SU_3$  with the 1-dimensional  $\mathfrak{f}_v$ -anisotropic torus, and

$$e(P_v) = q_v^3 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 + \frac{1}{q_v^3}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^2 + 1).$$

Case 3b(ii):  $P_v$  is an Iwahori subgroup. Then  $\overline{M}_v = R_{\mathfrak{F}_v/\mathfrak{f}_v}(\mathrm{GL}_1)^2/\mathrm{GL}_1$ . In this case,

$$e(P_v) = q_v^6 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^2 + 1)(q_v^3 + 1).$$

Case 4: v is ramified in  $\ell$ .

Case 4a: rank $_{k_v}G = 2$  (i.e. G is quasi-split over  $k_v$ ). There are four subcases.

Case 4a(i):  $P_v$  is a special maximal parahoric subgroup. Then  $\overline{M}_v = \overline{\mathcal{M}}_v$  and these groups are isomorphic to  $\operatorname{Sp}_4$  (i.e., are of type  $C_2$ ). In this case,

$$e(P_v) = \left(1 - \frac{1}{q_v^2}\right)^{-1} \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = 1.$$

Case 4a(ii):  $\overline{M}_v$  is isogenous to  $SL_2 \times SL_2$ . In this case,

$$e(P_v) = q_v^2 \left(1 - \frac{1}{q_v^2}\right)^{-2}, \ e'(P_v) = q_v^2 + 1.$$

Case 4a(iii):  $\overline{M}_v$  is isogenous to  $GL_2$ . In this case,

$$e(P_v) = q_v^3 \left(1 - \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v + 1)(q_v^2 + 1).$$

Case 4a(iv):  $P_v$  is an Iwahori subgroup. Then  $\overline{M}_v = \mathrm{GL}_1 \times \mathrm{GL}_1$ . In this case,

$$e(P_v) = q_v^4 \left(1 - \frac{1}{q_v}\right)^{-2}, \ e'(P_v) = (q_v + 1)^2 (q_v^2 + 1).$$

Case 4b:  $\operatorname{rank}_{k_v} G = 1$ . There are three subcases.

Case 4b(i):  $\overline{M}_v = R_{\mathfrak{F}_v/\mathfrak{f}_v}(SL_2)$ . In this case,

$$e(P_v) = q_v^2 \left(1 - \frac{1}{q_v^4}\right)^{-1}, \ e'(P_v) = q_v^2 - 1.$$

Case 4b(ii):  $\overline{M}_v$  is isogenous to the product of  $SL_2$  and the 1-dimensional  $\mathfrak{f}_v$ -anisotropic torus. In this case,

$$e(P_v) = q_v^3 \left(1 + \frac{1}{q_v}\right)^{-1} \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = (q_v - 1)(q_v^2 + 1).$$

Case 4b(iii):  $P_v$  is an Iwahori subgroup. Then  $\overline{M}_v = R_{\mathfrak{F}_v/\mathfrak{f}_v}(GL_1)$ . In this case,

$$e(P_v) = q_v^4 \left(1 - \frac{1}{q_v^2}\right)^{-1}, \ e'(P_v) = q_v^4 - 1.$$

**2.4** Let  $\zeta_k$  be the Dedekind zeta-function of k, and  $L_{\ell|k}$  be the Hecke L-function associated to the quadratic Dirichlet character of  $\ell/k$ . From the functional equations

$$\zeta_k(2j) = D_k^{\frac{1}{2} - 2j} \left( \frac{(-1)^j 2^{2j-1} \pi^{2j}}{(2j-1)!} \right)^d \zeta_k(1-2j),$$

and

$$L_{\ell|k}(2j+1) = \left(\frac{D_k}{D_\ell}\right)^{2j+\frac{1}{2}} \left(\frac{(-1)^j 2^{2j} \pi^{2j+1}}{(2j)!}\right)^d L_{\ell|k}(-2j),$$

we find that

(5) 
$$\mathscr{R} := (D_k D_\ell)^{5/2} \left(\frac{3}{2^7 \pi^9}\right)^d \left(\zeta_k(2) L_{\ell|k}(3) \zeta_k(4)\right)$$
$$= 2^{-3d} \zeta_k(-1) L_{\ell|k}(-2) \zeta_k(-3).$$

For all nonarchimedean  $v \notin \mathcal{T}$ , as  $e'(P_v) = 1$ , equations (1), (2) and (3) give that

$$\mu(\mathcal{G}/\Lambda) = \mathscr{R} \prod_{v \in \mathscr{T}} e'(P_v).$$

As the values of  $e'(P_v)$  given in 1.3 are integral, we conclude that  $\mu(\mathcal{G}/\Lambda)$  is an integral multiple of  $\mathcal{R}$ . Moreover,

(6) 
$$\mu(\mathcal{G}/\Gamma) = \frac{\mu(\mathcal{G}/\Lambda)}{[\Gamma : \Lambda]} = \frac{\mathcal{R} \prod_{v \in \mathcal{T}} e'(P_v)}{[\Gamma : \Lambda]}.$$

Proposition 2.9 of [BP] applied to G' = G and  $\Gamma' = \Gamma$  implies that any prime divisor of  $[\Gamma : \Lambda]$  divides 4, hence  $[\Gamma : \Lambda]$  is a power of 2. Now as  $\mu(\mathscr{G}/\Gamma)$  is a submultiple of  $1/4^r$  (i.e., it is the reciprocal of  $4^r n$ , where n is a natural number), we have the following:

**Proposition 1.** The numerators of  $\mathscr{R}$  and  $\mathscr{R} \prod_{v \in \mathscr{T}} e'(P_v)$  are powers of 2.

#### 3. Discriminant bounds

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**3.1** We list in this section the basic estimates to be used later. In the notation of [PY3], **2.1**, the Haar measure is normalized so that  $|\chi(\Gamma)| = \chi(X_u)\mu(\mathcal{G}/\Gamma)$ , where  $\mathcal{G} = \prod_{i=1}^r G(k_{v_i})$ ,  $X_u$  is the compact dual of the symmetric space of  $\mathcal{G}$ , and  $\mu(\mathcal{G}/\Gamma)$  is a submultiple of  $1/4^r$ . So we derive from bound (4) that

(7) 
$$1 \geqslant 4^r \mu(\mathcal{G}/\Gamma) \geqslant \frac{\mu(\mathcal{G}/\Lambda)}{2^{d+2\#\mathcal{F}} h_{\ell,4}}.$$

From 8.1 of [PY3], we conclude that  $\mathcal{E} > 4^{\#\mathcal{T}}$  and hence we obtain the following from (1),

(8) 
$$1 > (D_k D_\ell)^{5/2} \left(\frac{3}{2^8 \pi^9}\right)^d \cdot \frac{1}{h_{\ell,4}}.$$

**3.2** According to Proposition 3(ii) of [PY3],  $d \le 2$ . The following bound for  $D_k^{1/d}$  is obtained from bound (57) of [PY3] for n = 3.

(9) 
$$D_k^{1/d} < f_1(d, h_{\ell,4}) := \left[ \left( \frac{2^8 \pi^9}{3} \right)^d \cdot h_{\ell,4} \right]^{\frac{2}{15d}}.$$

We have the following bounds which are respectively the bounds (64) and (65) of [PY3] for n = 3:

(10) 
$$D_{\ell}^{1/2d} < \mathfrak{q}_{1}(d, D_{k}, h_{\ell, 4})$$
$$:= \left[\frac{h_{\ell, 4}}{D_{k}^{5/2}} \cdot \left(\frac{2^{8} \pi^{9}}{3}\right)^{d}\right]^{\frac{1}{5d}}.$$

(11) 
$$D_{\ell}^{1/2d} < \mathfrak{q}_{2}(d, D_{k}, R_{\ell}/w_{\ell}, \delta)$$

$$:= \left[ \frac{\delta(1+\delta)}{(R_{\ell}/w_{\ell})D_{k}^{5/2}} \cdot \left( \frac{\Gamma(1+\delta)\zeta(1+\delta)^{2}}{(2\pi)^{1+\delta}} \cdot \frac{2^{8}\pi^{9}}{3} \right)^{d} \right]^{\frac{1}{d(4-\delta)}},$$

where we choose  $\delta \in [0.02, 2]$ . In the above,  $R_{\ell}$  is the regulator of  $\ell$  and  $w_{\ell}$  is the order of the finite group of roots of unity contained in  $\ell$ .

Similarly, we have the following bounds for the relative discriminant  $D_{\ell}/D_k^2$ , obtained from bounds (61) and (62) of [PY3].

(12) 
$$D_{\ell}/D_k^2 < \mathfrak{p}_1(d, D_k, h_{\ell,4}) := \left[h_{\ell,4} \cdot \left(\frac{2^8 \pi^9}{3}\right)^d D_k^{-15/2}\right]^{\frac{2}{5}}.$$

(13) 
$$D_{\ell}/D_{k}^{2} < \mathfrak{p}_{2}(d, D_{k}, R_{\ell}/w_{\ell}, \delta)$$

$$:= \left[ \frac{\delta(1+\delta)}{(R_{\ell}/w_{\ell})D_{k}^{(13-2\delta)/2}} \left( \frac{\Gamma(1+\delta)\zeta(1+\delta)^{2}}{(2\pi)^{1+\delta}} \frac{2^{8}\pi^{9}}{3} \right)^{d} \right]^{\frac{2}{(4-\delta)}}.$$

#### 4. Limiting the possible pairs $(k, \ell)$

We are going to limit possibilities for the number fields  $(k, \ell)$  involved in the description of G. As recalled in 3.2,  $d \leq 2$ .

**4.1** As in [PY3], we are going to use extensively the lower bounds for root discriminants of number fields given in [M], which in turn can be traced to earlier work of Odlyzko and Diaz y Diaz. In brief, Martinet, and earlier Odlyzko, gave increasing functions of n which provide a lower bound for the root discriminant of all totally real (resp. totally complex) number fields of degree n, see ([O]; and [M], §1.4). The fact that the bounds for root discriminant provided in [O] and [M] for totally real (resp., totally complex) number fields of degree n, increase with n, has been used implicitly throughout [PY3] and will also be used here.

We study first the case d=2. Suppose that  $D_k\geqslant 33$ . In this case,  $R_\ell/w_\ell$  is bounded from below by 1/8, see [Fr, Theorem B']. It follows from bound (11) that  $D_\ell^{1/4}\leqslant \mathfrak{q}_2(2,33,1/8,0.6)<18.93$ . The following argument involving Hilbert class fields will be used repeatedly in the following. Denote by  $M_c(n)$  the smallest root discriminant among all totally complex number fields of degree n. The Hilbert class field of  $\ell$  is a totally complex number field of degree  $h_\ell$  over  $\ell$ , hence is of degree  $4h_\ell$  over  $\mathbb Q$ , with root discriminant the same as  $D_\ell^{1/4}<18.93$ . On the other hand, it follows from Table IV of [M] that  $M_c(260)>18.98$  (hence, in fact,  $M_c(n)>18.98$  for all  $n\geqslant 260$ ). So we conclude that  $4h_\ell<260$ . Therefore,  $h_\ell\leqslant \lfloor 259/4\rfloor=64$ , where  $\lfloor x\rfloor$  denotes the integral part of x. So  $h_{\ell,4}\leqslant 64$ . It follows from bound (10) that  $D_\ell^{1/4}\leqslant \mathfrak{q}_1(2,33,64)<12.09$ . We iterate the above argument using Hilbert class fields. From [M] again,  $M_c(34)>12.27$ . Hence  $h_\ell\leqslant \lfloor 34/4\rfloor=8$ . It follows again from bound (10) that  $D_\ell^{1/4}\leqslant \mathfrak{q}_1(2,33,8)<9.82$ . From [M],  $M_c(22)>10.25$ . Hence  $h_\ell\leqslant \lfloor 22/4\rfloor=5$ . This implies that  $h_{\ell,4}\leqslant 4$ . Hence  $D_\ell^{1/4}\leqslant \mathfrak{q}_1(2,33,4)<9.16$ .

We also have the bounds  $D_k^{1/2} \leq f_1(2,4) < 7.84$ , and  $D_\ell/D_k^2 \leq \lfloor \mathfrak{p}_1(2,33,4) \rfloor = 6$ . Hence we have the following constraints when  $D_k \geq 33$ .

$$D_k \le \lfloor 7.84^2 \rfloor = 61, \ D_\ell \le \lfloor 9.16^4 \rfloor = 7040, \ D_\ell / D_k^2 \le 6.$$

As we saw in [PY1], §8, the above constraints imply that the possible pairs of number fields are the ones listed as  $C_{21} - C_{26}$  in §8 of [PY1].

**4.2** Suppose now that  $D_k < 33$ . We know that the discriminant of a real quadratic number field with discriminant < 33 is one of the following:

We are going to handle each of these real quadratic fields separately.

For  $D_k = 29$ , we use the known estimates of regulator  $R_k$  provided in [C]. In general, we know that the group of roots of unity in  $\ell$  is a cyclic group of even order denoted by m. Let  $\zeta_m$  be a primitive m-th root of unity. As the degree of the cyclotomic field  $\mathbb{Q}(\zeta_m)$  is  $\phi(m)$ , where  $\phi$  is the Euler function, we know that  $\phi(m)$  is a divisor of 2d = 4. Observe that the Euler function  $\phi(m)$  can take the value less than or equal to 4 only for the following values of m.

Hence either  $w_{\ell} = 4$  or  $w_{\ell} \leq 2$ . In the first case, as  $\ell$  contains  $\mathbb{Q}(\zeta_m)$  and both have degree 4, we conclude that  $\ell = \mathbb{Q}(\zeta_m)$ , where m = 8, 10 or 12. In the second case,  $w_{\ell} = 2$  since  $\ell$  contains  $\pm 1$ . From [W], Proposition 4.16, page 42),

(14) 
$$R_{\ell} \leqslant (\frac{1}{Q}2^r)R_k,$$

where  $r = \frac{1}{2} \deg(\ell/\mathbb{Q}) - 1 = 1$ , and Q = 2 from Corollary 4.13, page 40 of [W] since m is even. We conclude from (14) that

$$\frac{R_{\ell}}{w_{\ell}} = \frac{R_{\ell}}{2} \leqslant \frac{R_k}{2}.$$

Since  $D_k = 29$ , we quote from [C], Table B.2, page 515, that  $R_k \ge 1.647$ . Hence from estimates in (11),

(16) 
$$D_{\ell}^{1/4} \leq \mathfrak{q}_2(2, 29, 1.647/2, 0.65) < 15.02.$$

Clearly the above root discriminant bound is satisfied by  $\ell = \mathbb{Q}(\zeta_m)$  for m = 8, 10 or 12 as well, cf. [W], Proposition 2.7, page 12. Hence the bound in (14) holds for both cases.

Since  $M_c(68) > 15.14$  from [M], we infer using Hilbert class field argument as above that  $h_\ell \leqslant \lfloor 67/4 \rfloor = 16$ . This implies that  $D_\ell^{1/4} \leqslant \mathfrak{q}_1(2,29,16) < 10.87$ . Since  $M_c(30) > 11.7$  from [M], we know that  $h_\ell \leqslant \lfloor 29/4 \rfloor = 7$ . Hence  $h_{\ell,4} \leqslant 4$ . This implies that  $D_\ell^{1/4} \leqslant \mathfrak{q}_1(2,29,4) < 9.46$ . Now since  $\lfloor \mathfrak{p}_1(2,29,4) \rfloor = 9$ , from bound (12) we see that  $D_\ell/D_k^2 \leqslant 9$ , and so  $D_\ell \leqslant 29^2 \cdot 9 \leqslant 7569$ . In conclusion, we have

$$D_k = 29, \ D_\ell \leqslant 7569, \ D_\ell/D_k^2 \leqslant 9.$$

**4.3** Employing similar arguments, we can handle the case of  $D_k = 8, 12, 13, 17$  using the information on  $R_k$  from Table B.2 of [C], on the class number  $h_\ell$  from the tables in [1], and lower bounds of root discriminant from the tables of [M]. The explicit lower bound for  $R_k$  is listed in the second row of the table below.

$D_k$	5	8	12	13	17	21	24	28
$R_k > R_0 =$	0.4811	0.8813	1.317	1.194	2.094	1.566	2.291	2.768
δ	0.492	0.534	0.573	0.576	0.615	0.623	0.649	0.672
$\mathfrak{q}_2(2, D_k, R_0/2, \delta) <$	34.1	26.4	21.5	21.2	17.7	17.1	15.4	14.1
$\mathfrak{q}_1(2, D_k, 64) <$	19.37	17.3	15.6	15.3	14.3	13.6	13.1	12.6
$h_{\ell,4} \leqslant$	8	16	8	8	4	4	4	4
$D_{\ell} \leqslant$	61175	50458	25493	23532	13638	11040	9660	8280
$D_{\ell}/D_k^2 \leqslant$	2447	788	177	139	47	25	16	10

Let us explain how the above table is obtained. We first consider the cases of  $D_k \ge 8$ . The fourth row comes from direct computation with choice of  $\delta$  given in the third row. Except for the case of  $D_k = 5$ , the values on the fourth row satisfy

 $\mathfrak{q}_2(2, D_k, R_0/2, \delta)^4 < 10^6$ . Hence the value of  $D_\ell$  lies in the list in [1]. From [1], we check that  $h_\ell \leq 70$ , which implies that  $h_{\ell,4} \leq 64$ . This is the beginning of an argument that uses Hilbert Class Fields and the tables of [M] as in the earlier cases of  $D_k \geq 33$  and  $D_k = 29$  discussed above.

In particular, this argument allows us to conclude the upper bound  $h_{\ell,4} \leq 4$  for  $D_k \geq 17$  listed in the fifth row, note that here n=3. Let us carry out the procedure in detail for  $D_k=8,12,13$  and 17.

For  $D_k = 8$ ,  $D_\ell^{1/2d} < \mathfrak{q}_1(2,8,64) < 17.22$ . Since  $M_c(130) > 17.28$  from [M], we know that  $h_\ell \leqslant \lfloor 129/4 \rfloor = 32$ . Hence  $h_{\ell,4} \leqslant 32$ . This implies that  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2,8,32) < 16.064$ , Since  $M_c(88) > 16.066$  from [M], we know that  $h_\ell \leqslant \lfloor 87/4 \rfloor = 21$ . Hence  $h_{\ell,4} \leqslant 16$ . This implies that  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2,8,16) < 14.99$ , and  $D_\ell \leqslant \lfloor \mathfrak{q}_1(2,8,16)^4 \rfloor = 50458$ . Furthermore,  $D_\ell/D_k^2 \leqslant \lfloor \mathfrak{p}_1(2,8,16) \rfloor = 788$ .

For  $D_k = 12$ ,  $D_\ell^{1/2d} < \mathfrak{q}_1(2,12,64) < 15.56$ . Since  $M_c(80) > 15.7$  from [M], we know that  $h_\ell \leqslant \lfloor 79/4 \rfloor = 19$ . Hence  $h_{\ell,4} \leqslant 16$ . This implies that  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2,12,16) < 13.55$ . Since  $M_c(46) > 13.59$  from [M], we know that  $h_\ell \leqslant \lfloor 45/4 \rfloor = 11$ . Hence  $h_{\ell,4} \leqslant 8$ . This implies that  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2,12,8) < 12.6359$  and  $D_\ell \leqslant \lfloor \mathfrak{q}_1(2,12,8)^4 \rfloor < \lfloor 12.6359^4 \rfloor = 25493$ . Furthermore,  $D_\ell/D_k^2 \leqslant \lfloor \mathfrak{p}_1(2,12,8) \rfloor = 177$ . Since the expression  $\mathfrak{q}_1(2,D_k,64)$  decreases as  $D_k$  increases, we conclude that for

Since the expression  $\mathfrak{q}_1(2, D_k, 64)$  decreases as  $D_k$  increases, we conclude that for  $D_k \geqslant 12$ , the argument of the last paragraph implies that  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2, D_k, 16) < \mathfrak{q}_1(2, 12, 16) < 13.55$ , which in turn leads to  $h_{\ell,4} \leqslant 8$  as well.

In particular, for  $D_k = 13$ ,  $h_{\ell,4} \leq 8$ .

For  $D_k \geqslant 17$ ,  $D_\ell^{1/2d} \leqslant \mathfrak{q}_1(2, D_k, 8) \leqslant \mathfrak{q}_1(2, 17, 8) < 11.5823$ . Since  $M_c(30) > 11.70$  from [M], we know that  $h_\ell \leqslant \lfloor 29/4 \rfloor = 7$ . It follows that  $h_{\ell,4} \leqslant 4$ . The other items in the above table are computed similarly.

For  $D_k = 5$ ,  $(k = \mathbb{Q}(\sqrt{5}))$  we simply use the bound  $D_\ell \leqslant \mathfrak{q}_2(2,5,0.4811/2,0.5)^4 < 34.1^4 < 1.36 \times 10^6$ . At the request of the authors, Malle provided us a complete list of all totally complex number fields of degree 4 containing  $\mathbb{Q}(\sqrt{5})$  satisfying this discriminant bound. There are 2556 such number fields and for each  $\ell$  in this list,  $h_\ell \leqslant 65$ . Hence  $h_{\ell,4} \leqslant 64$ . It follows that  $D_\ell \leqslant \mathfrak{q}_1(2,5,64)^4 \leqslant 140565$ . From the list of Malle, it follows that there are 276 totally complex number fields satisfying discriminant  $D_\ell \leqslant 140565$ . Moreover,  $h_\ell \leqslant 18$  and hence  $h_{\ell,4} \leqslant 16$ , from which we conclude that  $D_\ell \leqslant \lfloor \mathfrak{q}_1(2,5,16)^4 \rfloor \leqslant 80733$ . Checking in Malle's list of number fields again, there are 164 number fields  $\ell$  with discriminant  $D_\ell \leqslant 80733$ , we find that  $h_\ell \leqslant 12$  for them, and hence  $h_{\ell,4} \leqslant 8$ . We conclude that  $D_\ell/D_k^2 \leqslant \lfloor \mathfrak{p}_1(2,5,8) \rfloor \leqslant 2447$ . Hence  $D_\ell \leqslant 61175$ . There are altogether 121 such number fields  $\ell$ .

## **4.4** We summarize the results from the previous subsections in the following.

**Proposition 2.** The followings are all possible pairs  $(k, \ell)$  which may give an arithmetic fake compact hermitian symmetric space of type  $A_3$ .

(a)  $[k:\mathbb{Q}] = 2$ , and  $(k,\ell)$  is one of the pairs  $C_{21} - C_{26}$  described in 5.1 below, or  $k = \mathbb{Q}(\sqrt{\alpha})$  for  $\alpha$  as in the table below, and the discriminant  $D_{\ell}$  of  $\ell$  satisfies the following bound:

	$\alpha$	5	2	3	13	17	21	6	7	29
Ì	$D_{\ell} \leqslant$	61175	50458	25493	23532	13638	11040	9660	8280	7569
Ì	N	121	50	13	12	4	3	2	2	1

where N is the number of number fields satisfying the discriminant bounds.

(b) 
$$k = \mathbb{Q}$$
, and  $\ell = \mathbb{Q}(\sqrt{-a})$ :  $D_{\ell} \leq 1363$ .

Assertion (b) is from 9.3 of [PY3]. We note that in case (b) there are altogether 434 possible number fields  $\ell$ . In (a), there are altogether 208 pairs of number fields in the table, apart from the 6 pairs of number fields  $C_{21} - C_{26}$ . The authors are grateful to Gunter Malle for providing the list of number fields satisfying the constraints in the table above. The authors thank the referee for pointing out that the list of the number fields can also be found by putting in appropriate parameters in the data base LMFDB [2].

#### 5. Zeta and L-values

In this and the next section, we are going to use the value of  $\mathscr{R}$  given by (5) and the explicit values of  $\zeta$ - and L-functions to restrict the possible number fields involved. We will use the fact that the numerators of  $\mathscr{R}$  and  $\mathscr{R}\prod_{v\in\mathscr{T}}e'(P_v)$  are powers of 2 as given by Proposition 1.

**5.1** Consider  $C_i$ , for i = 21-26 the pairs of number fields  $(k, \ell)$  introduced in [PY1], §8. Denote by  $\zeta_n$  a primitive n-th root of unity. Then

$$\begin{split} &C_{21} = (\mathbb{Q}(\sqrt{33}), \mathbb{Q}(\sqrt{33}, \zeta_3)), C_{22} = (\mathbb{Q}(\sqrt{11}), \mathbb{Q}(\sqrt{11}, \zeta_4)), \\ &C_{23} = (\mathbb{Q}(\sqrt{14}), \mathbb{Q}(\sqrt{14}, \sqrt{-7})), C_{24} = (\mathbb{Q}(\sqrt{57}), \mathbb{Q}(\sqrt{57}, \zeta_3)), \\ &C_{25} = (\mathbb{Q}(\sqrt{15}), \mathbb{Q}(\sqrt{15}, \sqrt{-5})), \ C_{26} = (\mathbb{Q}(\sqrt{15}), \mathbb{Q}(\sqrt{15}, \zeta_4)). \end{split}$$

From computations using Magma, we have the following table of values for  $\zeta_k(-1)$ ,  $L_{\ell|k}(-2)$ ,  $\zeta_k(-3)$  and  $\mathscr{R}$ .

$(k,\ell)$	$\zeta_k(-1)$	$L_{\ell k}(-2)$	$\zeta_k(-3)$	$\mathscr{R}$
$C_{21}$	1	4/3	141/10	47/160
$C_{22}$	7/6	3	2153/60	15071/7680
$C_{23}$	5/3	48/7	2503/30	2503/168
$C_{24}$	7/3	44/9	2867/30	220759/12960
$C_{25}$	2	20/3	537/5	179/8
$C_{26}$	2	8	537/5	537/20

By looking at the last column of the above table, we see that the cases  $C_{21} - C_{26}$  can be ruled out since the numerators are not power of 2.

**5.2** We computed the value of  $\mathcal{R}$  for each of the 208 candidate pairs  $(k, \ell)$  provided by Malle in Proposition 2(a). Only four of them turned-out to have numerator a power of 2. These are listed below. Note that for  $k = \mathbb{Q}(\sqrt{5})$  the zeta values are  $\zeta_k(-1) = 1/30$  and  $\zeta_k(-3) = 1/60$ .

$(k,\ell)$	$L_{\ell k}(-2)$	$\mathscr{R}$
$C_1$	4/5	$1/144000 = 1/(2^7 \cdot 3^2 \cdot 5^3)$
$C_2$	32/9	$1/32400 = 1/(2^4 \cdot 3^4 \cdot 5^2)$
$\mathcal{C}_3$	15	$1/7680 = 1/(2^9 \cdot 3 \cdot 5)$
$\mathscr{F}_1$	2400	$1/48 = 1/(2^4 \cdot 3)$

Here  $\mathscr{F}_1 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \sqrt{-11}))$ , with  $D_k = 5$  and  $D_\ell = 3025$ . Moreover,  $C_1 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\zeta_5))$ ;  $C_2 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \zeta_3))$ , and  $C_3 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \zeta_4))$ . Note that the class number of  $\ell$  in  $C_1$ ,  $C_2$  and  $C_3$  is 1, while the class number of  $\ell$  (=  $\mathbb{Q}(\sqrt{5}, \sqrt{-11})$ ) in  $\mathscr{F}_1$  is 2.

**5.3** Consider now the case of  $k = \mathbb{Q}$ . In this case,  $\zeta_k$  is just the regular Riemann Zeta function.

Computing the values of  $L_{\ell/\mathbb{Q}}(-2)$  for  $\ell$  with  $D_{\ell} \leq 1363$  (Proposition 2(b)), we see that the only candidates for the cases of  $k = \mathbb{Q}$ ,  $\ell = \mathbb{Q}(\sqrt{-a})$  are the following ten for which the numerator of  $\mathscr{R}$  is a power of 2. In this table, h is the class number of  $\ell = \mathbb{Q}(\sqrt{-a})$ .

a	h	$\mathscr{R}$
1	1	$1/23040 = 1/(2^9 \cdot 3^2 \cdot 5)$
2	1	$1/3840 = 1/(2^8 \cdot 3 \cdot 5)$
3	1	$1/51840 = 1/(2^7 \cdot 3^4 \cdot 5)$
5	2	$1/384 = 1/(2^7 \cdot 3)$
7	1	$1/5040 = 1/(2^4 \cdot 3^2 \cdot 5 \cdot 7)$
11	1	$1/1920 = 1/(2^7 \cdot 3 \cdot 5)$
15	2	$1/720 = 1/(2^4 \cdot 3^2 \cdot 5)$
23	3	$1/240 = 1/(2^4 \cdot 3 \cdot 5)$
31	3	$1/120 = 1/(2^3 \cdot 3 \cdot 5)$
47	5	$1/40 = 1/(2^3 \cdot 5)$

## 6. Contributions by $e'(P_v)$

**6.1** We are going to make use of the factor  $e'(P_v)$  in  $\mu(\mathcal{G}/\Lambda) = \mathcal{R} \prod_{\mathcal{T}} e'(P_v)$  to eliminate some more cases.

We will consider only the cases where the division algebra  $\mathscr{D} \neq \ell$ . Then  $\mathscr{T}_0$  is nonempty and every place in  $\mathscr{T}_0$  is nonarchimedean and splits in  $\ell$  (see 1.1)

Here is the list of rational primes  $p \leq 71$  which split in  $\ell$ . Note that for the pairs  $C_1$ ,  $C_2$ ,  $C_3$  and  $\mathscr{F}_1$ , we only list those  $p \leq 71$ , which are restrictions to  $\mathbb{Q}$  of nonarchimedean places of k that split in  $\ell$ . For any nonarchimedean place v of k that splits in  $\ell$  and lies over a rational prime larger than 71, the value of  $\mathscr{R}e'(P_v)$  is much larger than 1 in all the 14 cases presently under consideration (these are the cases for which  $\mathscr{R}$  has been listed in 5.2 and 5.3).

$(k,\ell)$	primes $\leq 71$ which split in $\ell$
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-1}))$	5, 13, 17, 29, 37, 41, 53, 61
$(\mathbb{Q},\mathbb{Q}(\sqrt{-2}))$	3, 11, 17, 19, 41, 43, 59, 67
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-3}))$	7, 13, 19, 31, 37, 43, 61, 67
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-5}))$	3, 7, 23, 29, 41, 43, 47, 61, 67
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-7}))$	2, 11, 23, 29, 37, 43, 53, 67, 71
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-11}))$	3, 5, 23, 31, 37, 47, 53, 59, 67, 71
$(\mathbb{Q},\mathbb{Q}(\sqrt{-15}))$	2, 17, 19, 23, 31, 47, 53, 61
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-23}))$	2, 3, 13, 29, 31, 41, 47, 59, 71
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-31}))$	2, 5, 7, 19, 41, 47, 59, 67, 71
$(\mathbb{Q}, \mathbb{Q}(\sqrt{-47}))$	2, 3, 7, 17, 37, 53, 59, 61, 71
$C_1 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\zeta_5))$	11, 31, 41, 61, 71
$C_2 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \zeta_3))$	7, 13, 17, 19, 23, 31, 37, 43, 53, 61, 67
$C_3 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \zeta_4))$	3, 5, 7, 13, 17, 23, 29, 37, 41, 43, 47, 53, 61, 67
$\mathscr{F}_1 = (\mathbb{Q}(\sqrt{5}), \mathbb{Q}(\sqrt{5}, \sqrt{-11}))$	3, 5, 7, 13, 17, 23, 31, 37, 43, 47, 53, 59, 67, 71

The primes  $\leq 71$  which split in  $\mathbb{Q}(\sqrt{5})$  are 11, 19, 29, 31, 41, 59, 61 and 71.

Note that in each of the cases above,  $\ell$  is a Galois extension of  $\mathbb{Q}$ .

**6.2** The values of  $e'(P_v)$  for  $v \in \mathscr{T}_0$  are given in Case 2 of §2.3. We see that  $e'(P_v)$  is an integral multiple of  $(q_v - 1)(q_v^3 - 1)$ . By direct computation, we conclude that except for the pair  $(\mathbb{Q}, \mathbb{Q}(\sqrt{-7}))$ , for every other pair in the above table, for any possible choice of a nonempty  $\mathscr{T}_0$ , either  $\mathscr{R}\prod_{v\in\mathscr{T}_0}e'(P_v)\gg 1$  or its numerator is not a power of 2. We also observe that for  $(\mathbb{Q}, \mathbb{Q}(\sqrt{-7}))$ , the only possibility for  $\mathscr{T}_0$  is  $\mathscr{T}_0 = \{2\}$ .

We know from 9.6 of [PY3] that if  $k = \mathbb{Q}$ , then  $\mathscr{D} \neq \ell$ . Thus the only possible pair with  $k = \mathbb{Q}$  is  $(\mathbb{Q}, \mathbb{Q}(\sqrt{-7}))$  and in this case  $\mathscr{T}_0 = \{2\}$  and  $\sqrt{[\mathscr{D}:\ell]} = 2$  or 4.

We summarize the above results as follows.

**Theorem 1.** The following are all possible pairs  $(k, \ell)$  which may give rise to a fake compact hermitian symmetric space of type  $A_3$ .

(a) 
$$(k, \ell) \in \{C_1, C_2, C_3, \mathcal{F}_1\} \text{ and } \mathcal{D} = \ell.$$

(b) 
$$(k,\ell) = (\mathbb{Q}, \mathbb{Q}(\sqrt{-7})), \sqrt{[\mathcal{D}:\ell]} = 2 \text{ or } 4 \text{ and } \mathcal{T}_0 = \{2\}.$$

## 7. Potential examples for $k = \mathbb{Q}$ .

**7.1** We consider the case of  $(k,\ell)=(\mathbb{Q},\mathbb{Q}(\sqrt{-7}))$ . If  $\sqrt{[\mathscr{D}:\ell]}=2$ , i.e.,  $\mathscr{D}$  is a quaternion division algebra, then  $\mathscr{T}_0(=\{2\})$  determines it uniquely. Using the values of  $e'(P_2)$  given in Cases 2b(i) and 2b(ii) in §2.3 we see that either  $P_2$  can be a maximal parahoric subgroup in which case  $e'(P_2)=7$  and hence  $\mathscr{R}e'(P_2)=7/5040=1/720$ , or  $P_2$  can be an Iwahori subgroup in which case  $e'(P_2)=35$  and  $\mathscr{R}e'(P_v)=35/5040=1/144$ .

Now since G is anisotropic over k, there exist at least two primes q such that q does not split in  $\ell := \mathbb{Q}(\sqrt{-7})$  and  $\mathbb{Q}_q$ -rank of G is 1; in fact the number of such primes is even (and at least 2), and  $\infty$  may be one of them in which case  $G(\mathbb{R}) \cong \mathrm{SU}(1,3)$  (see §6 in Chapter 10 of [Sc]). We consider a finite prime q that does not split in  $\ell$  and  $\mathbb{Q}_q$ -rank of G is 1. Let

$$\psi_1(q) = (q^2 - 1),$$
  
$$\psi_2(q) = (q - 1)(q^2 + 1).$$

7 is the only prime which ramifies in  $\ell = \mathbb{Q}(\sqrt{-7})$ . From the values of  $e'(P_v)$  given in Cases 3b, 4b of §2.3, we infer that  $e'(P_7)$  is an integral multiple of either  $\psi_1(7) = 48$ , or it equals  $\psi_2(7) = 300$ . On the other hand, if q is unramified in  $\ell$ ,  $e'(P_q)$  is an integral multiple of  $\psi_2(q)$ .

The function  $\psi_2(q)$  is increasing in q. So for small primes  $q \neq 7$  which do not split in  $\mathbb{Q}(\sqrt{-7})$ , it suffices for us to consider  $\psi_2(q)$  only for q = 3, 5 and 13.

$\psi_2(3)$	$2^2 \cdot 5$
$\psi_2(5)$	$2^3 \cdot 13$
$\psi_2(13)$	$2^3 \cdot 3 \cdot 5 \cdot 17$

By computing  $\Re e'(P_2)\prod_{p\in\mathscr{T}-\{2\}}e'(P_p)$  and checking whether its numerator is a power of 2 (recall from the above that  $\mathscr{T}$  contains at least two primes q which do not split in  $\mathbb{Q}(\sqrt{-7})$  and  $\mathbb{Q}_q$ -rank of G is 1), we see that if G is of  $\mathbb{R}$ -rank 1, that is  $G(\mathbb{R})\cong \mathrm{SU}(1,3)$ ,  $\mathscr{T}$  equals either  $\{2,3\}$  or  $\{2,7\}$ . When G is of  $\mathbb{R}$ -rank 2, that is  $G(\mathbb{R})\cong \mathrm{SU}(2,2)$ ,  $\mathscr{T}=\{2,3,7\}$  and in this case  $P_p$ , for all  $p\in\mathscr{T}$  are maximal parahoric subgroup of  $G(\mathbb{Q}_p)$  and  $\overline{M}_7=R_{\mathfrak{F}_7/\mathfrak{f}_7}(\mathrm{SL}_2)$ , where  $\mathfrak{F}_7$  is the quadratic extension of the field  $\mathfrak{f}_7$  with 7 elements. This data determines a principal arithmetic subgroup  $\Lambda$  in  $\mathrm{SU}(2,2)$  whose covolume is  $\Re e'(P_2)e'(P_3)e'(P_7)=4/3$ .

Now the question is whether the normalizer of  $\Lambda$  determined by the above  $\mathscr{T}$  in PU(1,3) or PU(2,2) contains a torsion-free subgroup of suitable index.

**7.2** Let us now consider the case where  $k = \mathbb{Q}$  and the degree of  $\mathscr{D}$  is 4. Using the formulae in §2.3, Case 2a, we see that one possibility is  $\mathscr{T} = \mathscr{T}_0 = \{2\}$ . In this case,  $G(\mathbb{R}) = \mathrm{SU}(2,2)$  and G is of rank 2 at every prime that does not split in  $\ell = \mathbb{Q}(\sqrt{-7})$ . Let  $P_2 = G(\mathbb{Q}_2)$ , then from the value of  $\mathscr{R}$  given in the table in **5.3**,  $\mathscr{R}e'(P_2) = 21/5040 = 1/240$ , and  $P_2$  determines a principal arithmetic subgroup  $\Lambda'$  of covolume 1/240 in  $\mathrm{SU}(2,2)$ .

Question 1. Does  $\Lambda'$  contain a torsion-free subgroup of index 240?

Let  $\Lambda$  be the normal subgroup of  $\Lambda'$  determined by the second congruence subgroup of  $G(\mathbb{Q}_2)$ ; it is of index 240 in  $\Lambda'$ . However, this  $\Lambda$  does contain elements of order 4.

**7.3** Another possibility (when  $k = \mathbb{Q}$  and  $\mathcal{D}$  is of degree 4) is that at certain (finite and infinite) primes which do not split in  $\ell$ , G is of rank 1. Let  $\mathcal{T}_1$  be the finite set of such primes; the cardinality of this set is even (see 7.1) and  $\mathcal{T} = \mathcal{T}_0 \cup \mathcal{T}_1 = \{2\} \cup \mathcal{T}_1$ .

As in 7.1, we easily see that  $\mathscr{T}_1$  is a 2 element subset of  $\{\infty, 3, 7\}$ . If  $\infty \in \mathscr{T}_1$ , then  $G(\mathbb{R}) \cong SU(1,3)$ .

If  $\mathscr{T}_1 = \{3,7\}$ , then  $G(\mathbb{R}) = \mathrm{SU}(2,2)$ , and choosing  $P_2 = G(\mathbb{Q}_2)$  and  $P_3$  and  $P_7$  to be maximal parahoric subgroups of  $G(\mathbb{Q}_3)$  and  $G(\mathbb{Q}_7)$  respectively such that  $e'(P_3) = 20$  and  $e'(P_7) = 48$ , we obtain a principal arithmetic subgroup  $\Lambda''$  of  $G(\mathbb{R}) = \mathrm{SU}(2,2)$  whose covolume is  $\mathscr{R}e'(P_2)e'(P_3)e'(P_7) = 20 \cdot 48/240 = 4$ , noting that we have computed in **7.2** that  $\mathscr{R}e'(P_2) = 1/240$ .

## 8 Potential examples for $[k, \mathbb{Q}] > 1$ .

**8.1** We consider the case of  $(k, \ell) = C_1, C_2, C_3, \mathscr{F}_1$  and  $\mathscr{D} = \ell$ . Then there exists a hermitian form h on  $\ell^4$  (defined in terms of the nontrivial automorphism of  $\ell/k$ ) such that  $G = \mathrm{SU}(h)$ .

As  $k = \mathbb{Q}(\sqrt{5})$  is a field of degree 2,  $r \leq 2$ . We claim that actually r = 1. To prove this claim, we assume on the contrary that r = 2. Then G is isotropic at both the real places of  $k = \mathbb{Q}(\sqrt{5})$ . On the other hand, for a place v of k, the group G is isomorphic to the split group  $\mathrm{SL}_4$  over  $k_v$  if v splits in  $\ell$ , and if v does not split in  $\ell$ , then G is  $k_v$ -isomorphic to the special unitary group of a hermitian form on  $\ell_v^4$ , where  $\ell_v = \ell \otimes_k k_v$ . So we see, for example, from Proposition 7.2 of [PR] that G is isotropic over k and hence we get a non-compact Hermitian locally symmetric space, contradicting our initial assumption.

**8.2** For the four pairs of fields  $C_1$ ,  $C_2$ ,  $C_3$  and  $\mathscr{F}_1$ , we will now list the parahoric subgroups involved in the description of the principal arithmetic subgroup  $\Lambda$ . Let us assume that  $(k,\ell)$  is one of the four pairs under consideration. Let us denote by  $\mathscr{T}_1$  the set of nonarchimedean places v of k which do not split in k and G is of  $k_v$ -rank 1. It is known that the cardinality of  $\mathscr{T}_1$  is even,  $\mathscr{T}_1$  may be empty. We assert that in fact  $\mathscr{T}_1$  is empty and thus the Witt index of h is 2 at every nonarchimedean place of k which does not split in  $\ell$ . (In particular,  $G(\mathbb{R}) = \mathrm{SU}(2,2)$ .) To prove the assertion, let us assume that  $\mathscr{T}_1$  is nonempty, then it contains at least one finite place, say v. By computing  $\mathscr{R}e'(P_v)$  using the values listed in Cases 3b and 4b in §2.3 we see that if v lies over a rational prime v 29, then  $\mathscr{R}e'(P_v) = v$  1. On the other hand, if v lies over a prime v 29, then the numerator of v 20, is not a power of 2. From these observations we conclude that v 1 is empty.

Also, by computing  $\Re e'(P_v)$  using the values of  $e'(P_v)$  given in §2.3, Cases 1, 3a and 4a, we see that  $P_v$  has to be hyperspecial if either v splits in  $\ell$  or is inert (i.e., unramified), and if v ramifies in  $\ell$ , then  $P_v$  is a special maximal parahoric subgroup. So  $e'(P_v) = 1$  for all nonarchimedean v. This implies that the covolume of  $\Lambda$  is precisely  $\Re$ . (The values of  $\Re$  are given in the table in 5.2.)

**Question 2.** Does there exist a torsion-free subgroup of right index, so that its covolume is 1, in the normalizer  $\Gamma$  of  $\Lambda$  in PU(2,2) for  $(k,\ell) = C_1, C_2, C_3, \mathscr{F}_1$  respectively in the Hermitian form case?

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